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APPLICANTS : Celine Adessi  
SERIAL NO. : 09/806,531  
FILED : 10/19/01  
FOR : Methods of Nucleic Acid Amplification and Sequencing

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**PETITION FOR GRANT OF PRIORITY UNDER 35 USC 119**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Dear Sir:

Applicant hereby petitions for grant of priority of the present Application on the basis of the following prior filed foreign Application:

<u>COUNTRY</u>	<u>SERIAL NO.</u>	<u>FILING DATE</u>
GERMANY	98307985.6	SEPTEMBER 30, 1998

To perfect Applicant's claim to priority, a certified copy of the above listed prior filed Application is enclosed.

Acknowledgment of Applicant's perfection of claim to priority is accordingly requested.

Respectfully submitted,

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Date: November 7, 2005



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The attached is a true copy  
of documents contained in  
the European patent appli-  
cation indicated below  
(Rule 94(4) EPC).

Les documents ci-annexés  
sont conformes aux  
documents figurant dans  
le dossier de la demande  
de brevet dont le numéro  
est indiqué ci-dessous  
(règle 94(4) CBE).

Patentanmeldung Nr. Patent application No. Demande de brevet n°

99947713.6

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For the President of the European Patent Office.

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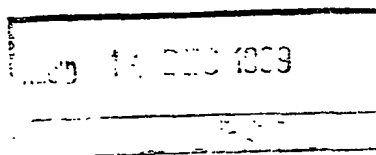
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Die angehefteten Unterlagen stimmen mit der ursprünglich eingereichten Fassung der auf dem nächsten Blatt bezeichneten europäischen Patentanmeldung überein.

The attached documents are exact copies of the European patent application described on the following page, as originally filed.

Les documents fixés à cette attestation sont conformes à la version initialement déposée de la demande de brevet européen spécifiée à la page suivante.

Patentanmeldung Nr. Patent application No. Demande de brevet n°

98307985.6

## PRIORITY DOCUMENT

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**Sheet 2 of the certificate**  
**Page 2 de l'attestation**

Anmeldung Nr.  
Application no..  
Demande n° 98307985.6

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Anmelder  
Applicant(s)  
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Bezeichnung der Erfindung  
Title of the invention  
Titre de l'invention:  
Methods of nucleic acid amplification and sequencing

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Remarks  
Remarques



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Methods of nucleic acid amplification and sequencing

5           This invention relates to the field of nucleic acid  
amplification and sequencing. More specifically, this  
invention relates to nucleic acid amplification and  
sequencing methods, and apparatus and kits useful for  
large scale high throughput amplification and sequencing  
10 of nucleic acids.

Nucleic acid sequence analysis has become a corner-  
stone in many activities in biology, biotechnology and  
medicine. The ability to determine nucleic acid  
sequences has become increasingly important as efforts  
15 have commenced to determine the sequences of the large  
genomes of humans and other higher organisms and also,  
for example, in single nucleotide polymorphism detection  
and screening and gene expression monitoring. The  
genetic information provided by nucleic acid sequencing  
20 has many applications in areas such as for example drug  
target discovery and validation, disease diagnosis and  
risk scoring and organism identification and  
characterization.

The first step in such applications is the  
25 determination of the actual chemical composition of the  
nucleic acids of interest, more precisely the  
determination of the sequence of occurrence of the four  
bases adenine (A), cytosine (C), guanine (G) and thymine  
(T) or uracil (U) which comprise nucleic acids.  
30 However, such applications require the sequencing of  
nucleic acids on a large scale, making high throughput  
methods of nucleic acid sequencing extremely desirable.

Methods of nucleic acid sequencing are documented  
in the art. The two most commonly used are the chemical  
35 cleavage technique by Maxam and Gilbert which relies on  
base-specific chemistry and the now more popular Sanger  
sequencing technique which relies on an enzymatic chain

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terminating principle and is now used on a routine basis for nucleic acid sequencing.

In Sanger sequencing, each nucleic acid to be sequenced is replicated in a reaction involving DNA polymerase, deoxynucleotide triphosphates (dNTPs) and dideoxynucleotide triphosphates (ddNTPs). The DNA polymerase can incorporate both dNTPs and ddNTPs into the growing DNA strand. However, once a ddNTP is incorporated, the 3' end of the growing DNA strand lacks a hydroxyl group and is no longer a substrate for chain elongation, thus terminating the nucleic acid chain. Hence, in a particular reaction including one type of ddNTP a mixture of nucleic acids of different lengths is produced, all terminating with the same ddNTP. Usually separate reactions are set up for each of the four types of ddNTP and the distribution of lengths of the nucleic acid fragments produced is analysed by denaturing gel electrophoresis (which resolves nucleic acid fragments according to their size), or more recently, by mass-spectroscopy. Usually, one or more of the deoxynucleotide triphosphates in the reaction mixture is labelled to enable detection of the fragments of different lengths.

The above described methods are disadvantageous because each nucleic acid to be sequenced has to be processed individually during the biochemical reaction. Gel electrophoresis is cumbersome, labour intensive and intrinsically slow even when capillary electrophoresis is used and is not well suited for large scale high throughput sequencing. In addition, the subsequent determination of the sequence is cumbersome. Mass-spectroscopy is still at the prototype level, requires very expensive apparatus and each sample has to be analysed individually.

One way to increase throughput is to process many samples in parallel. Methods using DNA hybridization of nucleic acid probes are in use and allow for some

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multiplexing of the process during the biochemical and the electrophoretic processes, but at the cost of lengthy additional manipulations.

5 More recently methods based on DNA chips and DNA hybridization are becoming available (Thomas and Burke Exp. Opin. Ther. Patents 8: 503-508 (1998)). These methods are disadvantageous because for each application, a DNA chip has to be designed and manufactured first: this is a lengthy operation and the  
10 price of an individual chip drops only when very large numbers of the chip are required. Also, the chips are not reusable and for each chip only one sample of nucleic acids, e.g. one patient to be diagnosed, can be processed at each time. Finally, the extent of sequence  
15 which can be analysed by such a chip is limited to less than 100,000 bases, and is limited to some applications such as DNA genotyping and gene expression profiling.

In most known techniques for nucleic acid sequence analysis, amplification of the nucleic acids of interest  
20 is a prerequisite step in order to obtain the nucleic acid in a quantity sufficient for analysis.

Several methods of nucleic acid amplification are well known and documented in the art. For example, nucleic acids can be amplified by inserting the nucleic  
25 acid of interest into an expression vector construct. Such vectors can then be introduced into suitable biological host cells and the vector DNA, including the nucleic acid of interest, amplified by culturing the biological host using well established protocols.

30 Nucleic acids amplified by such methods can be isolated from the host cells by methods well known and documented in the art. However, such methods have the disadvantage of being generally time consuming, labour intensive and difficult to automate.

35 The technique of DNA amplification by the polymerase chain reaction (PCR) was disclosed in 1985 (Saiki et al. Science 230, 1350-1354) and is now a

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method well known and documented in the art. A target nucleic acid fragment of interest can be amplified using two short oligonucleotide sequences (usually referred to as primers) which are specific to known sequences flanking the DNA sequence that is to be amplified. The primers hybridize to opposite strands of the double-stranded DNA fragment after it has been denatured, and are oriented so that DNA synthesis by the DNA polymerase proceeds through the region between the two primers, with the primer sequences being extended by the sequential incorporation of nucleotides by the polymerase. The extension reactions create two double-stranded target regions, each of which can again be denatured ready for a second cycle of hybridisation and extension. The third cycle produces two double-stranded molecules that comprise precisely the target region in double-stranded form. By repeated cycles of heat denaturation, primer hybridisation, and extension, there follows a rapid exponential accumulation of the specific target fragment of DNA. Traditionally, this method is performed in solution and the amplified target nucleic acid fragment purified from solution by methods well known in the art, for example by gel electrophoresis.

More recently, however, methods have been disclosed which use one primer grafted to a surface in conjunction with free primers in solution. These methods allow the simultaneous amplification and attachment of a PCR product onto the surface (Oroskar, A.A. et al., *Clinical Chemistry* 42:1547 (1996)).

WO96/04404 (Mosaic Technologies, Inc. et al) discloses a method of detection of a target nucleic acid in a sample which potentially contains the target nucleic acid. The method involves the induction of a PCR based amplification of the target nucleic acid only when the target nucleic acid is present in the sample being tested. For the amplification of the target sequence, both primers are attached to a solid support,

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which results in the amplified target nucleic acid sequences also being attached to the solid support. The amplification technique disclosed in this document is sometimes referred to as the "bridge amplification" technique. In this technique the two primers are, as for conventional PCR, specifically designed so that they flank the particular target DNA sequence to be amplified. Thus, if the particular target nucleic acid is present in the sample, the target nucleic acid can hybridise to the primers and be amplified by PCR. The first step in this PCR amplification process is the hybridisation of the target nucleic acid to the first specific primer attached to the support ("primer 1"). A first amplification product, which is complementary to the target nucleic acid, is then formed by extension of the primer 1 sequence. On subjecting the support to denaturation conditions the target nucleic acid is released and can then participate in further hybridisation reactions with other primer 1 sequences which may be attached to the support. The first amplification product which is attached to the support, may then hybridise with the second specific primer ("primer 2") attached to the support and a second amplification product comprising a nucleic acid sequence complementary to the first amplification product can be formed by extension of the primer 2 sequence and is also attached to the support. Thus, the target nucleic acid and the first and second amplification products are capable of participating in a plurality of hybridisation and extension processes, limited only by the initial presence of the target nucleic acid and the number of primer 1 and primer 2 sequences initially present and the result is a number of copies of the target sequence attached to the surface.

Since, on carrying out this process, amplification products are only formed if the target nucleic acid is present, monitoring the support for the presence or

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absence of one or more amplification products is indicative of the presence or absence of a specific target sequence.

5       The Mosaic technique can be used to achieve an amount of multiplexing in that several different target nucleic acid sequences can be amplified simultaneously by arraying different sets of first and second primers, specific for each different target nucleic acid sequence, on different regions of the solid support.

10       The disadvantage of the Mosaic process is that, as the first and second primer sequences have to be specific for each target nucleic acid to be amplified, it can only be used to amplify known sequences. In addition, the throughput is limited by the number of  
15       different sets of specific primers and subsequently amplified target nucleic acid molecules which can be arrayed in distinct regions of a given solid support and the time taken to array the nucleic acids in distinct regions. Also, the Mosaic process requires that 2  
20       different primers are homogeneously attached by the 5' end to the support within the distinct region where the amplification product is formed. This cannot be achieved with presently available DNA chip manufacturing technology and has to be achieved by some means of  
25       sample dispensing. Thus, the density that can be achieved by this approach has the same limitation as other classical arraying technologies. A further limitation is the speed of monitoring the individual distinct regions of the support for the presence or  
30       absence of the amplified target nucleic acids.

      Arraying of DNA samples is classically performed on membranes (e.g., nylon or nitro-cellulose membranes). The use of suitable robotics (e.g., Q-bot™, Genetix Ltd, Dorset BH23 3TG UK) means that it is possible to obtain  
35       a density of up to 10 samples/mm<sup>2</sup>. In such methods, the DNA is covalently linked to a membrane by physicochemical means (e.g., UV irradiation) and the

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arraying of large DNA molecules (e.g. molecules over 100 nucleotides long) as well as smaller DNA molecules such as oligonucleotide primers is possible.

Other techniques are known whereby higher density arrays of oligonucleotides can be obtained. For example, approaches based on pre-arrayed glass slides wherein arrays of reactive areas are obtained by ink-jet technology (Blanchard, A.P. and L. Hood, *Microbial and Comparative Genomics*, 1:225 (1996)) or arrays of reactive polyacrylamide gels (Yershov, G. et al., *Proceedings of the National Academy of Science, USA*, 93:4913-4918 (1996)) allow in theory the arraying of up to 100 samples/mm<sup>2</sup>.

Higher sample densities still are achievable by the use of DNA chips (Fodor, S.P.A. et al., *Science* 251:767(1991)). Currently, chips with 625 oligonucleotide probes/mm<sup>2</sup> are used in molecular biology techniques (Lockhart, D.J. et al., *Nature Biotechnology* 14:1675 (1996)). Probe densities of up to 250 000 samples/cm<sup>2</sup> (2500/mm<sup>2</sup>) are claimed to be achievable (Chee, M. et al., *Science* 274:610 (1996)). However, at present up to 132000 different oligonucleotides can be arrayed on a single chips of approximately 2.5 cm<sup>2</sup>. Importantly, these chips are manufactured in such a way so that the 3'OH end of the oligonucleotide is attached to the solid surface. This means that oligonucleotides attached to chips in such a way cannot be used as primers in a PCR amplification reaction.

Importantly, when PCR products are linked to the vessel in which PCR amplification takes place, the density of the resultant array of PCR products is limited by the available vessel. Currently available vessels are only in 96 well microtiter plate format. These allow only around 0.02 samples of PCR products/mm<sup>2</sup> of surface to be obtained.

For example, using the commercially available Nucleolink™ system (Nunc A/S, Roskilde, Denmark) it is

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possible to achieve simultaneous amplification and arraying of samples at a density of 0.02 samples/mm<sup>2</sup> in wells on the surface of which oligonucleotide primers have been grafted. However, technical problems mean  
5 that it is unlikely that a significant increase in this sample density will be achieved with this approach.

Thus, it can be seen that in order to increase throughput there is a need in the art for new methods of nucleic acid amplification which allow the simultaneous  
10 amplification and array of nucleic acid samples at a higher density, and furthermore, allows the monitoring of samples at a faster rate, preferably in parallel.

In addition, it is apparent that there is a need in the art for new methods of sequencing which allow large  
15 numbers of samples to be processed and sequenced in parallel, i.e. there is a need for methods of sequencing which allow significant multiplexing of the process. Significant multiplexing of the sequencing process would in turn lead to a higher throughput than that achievable  
20 with the methods of sequencing known in the art. Such new methods would be even more desirable if they could achieve such high throughput sequencing at a reasonable cost and with less labour intensiveness than conventional sequencing techniques.

25 The present invention describes new methods of solid-phase nucleic acid amplification which enable a large number of distinct nucleic acid sequences to be arrayed and amplified simultaneously and at a high density. The invention also describes methods by which  
30 a large number of distinct amplified nucleic acid sequences can be monitored at a fast rate and, if desired, in parallel. The invention also describes methods by which the sequences of a large number of distinct nucleic acids can be determined simultaneously  
35 and within a short period of time. The methods are particularly useful in, but not limited to, the sequencing of a whole genome, or situations where many



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genes (e.g. 500) from many individuals (e.g. 500) have to be sequenced simultaneously, or the simultaneous scoring of large numbers (e.g. millions) of polymorphisms, or the monitoring of the expression of a large number of genes (e.g. 100,000) simultaneously.

The present invention therefore provides a method for amplification of at least one nucleic acid comprising the following steps:-

(1) forming at least one nucleic acid template comprising the nucleic acid(s) to be amplified, wherein said nucleic acid(s) contains at the 5' end an oligonucleotide sequence Y and at the 3' end an oligonucleotide sequence Z and, in addition, the nucleic acid(s) carry at the 5' end a means for attaching the nucleic acid(s) to a solid support;

(2) mixing said nucleic acid template(s) with one or more colony primers X, which can hybridize to the oligonucleotide sequence Z and carries at the 5' end a means for attaching the colony primers to a solid support, in the presence of a solid support so that the 5' ends of both the nucleic acid template and the colony primers bind to the solid support;

(3) performing one or more nucleic acid amplification reactions on the bound template(s), so that nucleic acid colonies are generated.

In a further embodiment of the invention, two different colony primers X are mixed with the nucleic acid template(s) in step (2) of the method. Preferably the sequences of colony primers X are such that the oligonucleotide sequence Z can hybridise to one of the colony primers X and the oligonucleotide sequence Y is the same as one of the colony primers X.

In an alternative embodiment of the invention, the oligonucleotide sequence Z is complementary to oligonucleotide sequence Y, referred to as Y' and colony primer X is of the same sequence as oligonucleotide sequence Y.

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In a yet further embodiment of the invention, the colony primer X may comprise a degenerate primer sequence and the nucleic acid template(s) comprise the nucleic acid(s) to be amplified and do not contain  
5 oligonucleotide sequences Y or Z at the 5' and 3' ends respectively.

In a further aspect of the invention, the method comprises the additional step of performing at least one step of sequence determination of one or more of the  
10 nucleic acid colonies generated in step (3).

Thus the invention also provides a method for sequencing of at least one nucleic acid comprising the following steps:-

(1) forming at least one nucleic acid template  
15 comprising the nucleic acid(s) to be sequenced, wherein said nucleic acid(s) contains at the 5' end an oligonucleotide sequence Y and at the 3' end an oligonucleotide sequence Z and, in addition, the nucleic acid(s) carry at the 5' end a means for attaching the  
20 nucleic acid(s) to a solid support;

(2) mixing said nucleic acid template(s) with one or more colony primers X, which can hybridize to the oligonucleotide sequence Z and carries at the 5' end a means for attaching the colony primers to a solid  
25 support, in the presence of a solid support so that the 5' ends of both the nucleic acid template and the colony primers bind to the solid support;

(3) performing one or more nucleic acid amplification reactions on the bound template(s), so that  
30 nucleic acid colonies are generated; and

(4) performing at least one step of sequence determination of at least one of the nucleic acid colonies generated.

In a further embodiment of the invention the 5'  
35 ends of both the nucleic acid template(s) and the colony primers carry a means for attaching the nucleic acid sequences covalently to the solid support. Preferably

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this means for covalent attachment is a chemically modifiable functional group, such as for example, a phosphate group, a carboxylic or aldehyde moiety, a thiol, or an amino group.

5           Nucleic acids which may be amplified according to the methods of the invention include DNA, for example, genomic DNA, cDNA, recombinant DNA or any form of synthetic or modified DNA, RNA, mRNA or any form of synthetic or modified RNA. Said nucleic acids may vary  
10 in length and may be fragments or smaller parts of larger nucleic acid molecules. Preferably the nucleic acid to be amplified is at least 50 base pairs in length and more preferably 150 to 4000 base pairs in length. The nucleic acid to be amplified may have a known or  
15 unknown sequence and may be in a single or double-stranded form. The nucleic acid to be amplified may be derived from any source.

          "Nucleic acid template" as used herein refers to an entity which comprises the nucleic acid to be amplified  
20 or sequenced in a single-stranded form. The nucleic acid templates to be used in the method of the invention can be of variable lengths. Preferably they are at least 50 base pairs in length and more preferably 150 to 4000 base pairs in length. The nucleotides making up  
25 the nucleic acid templates may be naturally occurring or non-naturally occurring nucleotides. The nucleic acid templates of the invention not only comprise the nucleic acid to be amplified but may in addition contain at the 5' and 3' end short oligonucleotide sequences. The  
30 oligonucleotide sequence contained at the 5' end is referred to herein as Y. Oligonucleotide sequence Y is of a known sequence and can be of variable length. Oligonucleotide sequence Y for use in the methods of the present invention is preferably at least five  
35 nucleotides in length, preferably between 5 and 100 nucleotides in length and more preferably of approximately 20 nucleotides in length. Naturally

occurring or non-naturally occurring nucleotides may be present in the oligonucleotide sequence Y. The oligonucleotide sequence contained at the 3' end of the nucleic acid templates of the invention is referred to herein as Z. Oligonucleotide sequence Z is of a known sequence and can be of variable length. Oligonucleotide sequence Z for use in the methods of the present invention is preferably at least five nucleotides in length, preferably between 5 and 100 nucleotides in length and more preferably of approximately 20 nucleotides in length. Naturally occurring or non-naturally occurring nucleotides may be present in the oligonucleotide sequence Z. Oligonucleotide sequence Z is designed so that it hybridises with one of the colony primers X and preferably is designed so that it is complementary to oligonucleotide sequence Y, referred to herein as Y'. The oligonucleotide sequences Y and Z contained at the 5' and 3' ends respectively of a nucleic acid template need not be located at the extreme ends of the template.

"Nucleic acid template" as used herein also includes an entity which comprises the nucleic acid to be amplified or sequenced in a double-stranded form. When the nucleic acid template is in a double-stranded form, the oligonucleotide sequences Y and Z are contained at the 5' and 3' ends respectively of one of the strands. The other strand, due to the base pairing rules of DNA, is complementary to the strand containing oligonucleotide sequences Y and Z and thus contains an oligonucleotide sequence Z' at the 5' end and an oligonucleotide sequence Y' at the 3' end.

"Colony primer" as used herein refers to an entity which comprises an oligonucleotide sequence which is capable of hybridizing to a complementary sequence and initiate a specific polymerase reaction. The sequence comprising the colony primer is chosen such that it has maximal hybridising activity with its complementary

sequence and very low non-specific hybridising activity to any other sequence. The sequence to be used as a colony primer can include any sequence, but preferably includes 5'-AGAAGGAGAAGGAAAGGGAAAGGG or 5'-

5 CACCAACCCAAACCAACCCAAACC. The colony primer can be 5 to 100 bases in length, but preferably 15 to 25 bases in length. Naturally occurring or non-naturally occurring nucleotides may be present in the primer. One or two different colony primers may be used to generate nucleic acid colonies in the methods of the present invention. 10 The colony primers for use in the present invention may also include degenerate primer sequences.

"Degenerate primer sequences" as used herein refers to a short oligonucleotide sequence which is capable of 15 hybridizing to any nucleic acid fragment independent of the sequence of said nucleic acid fragment.

"Solid support" as used herein refers to any solid surface to which nucleic acids can be covalently attached, such as for example latex beads, dextran 20 beads, polystyrene, polypropylene surface, polyacrylamide gel, gold surfaces, glass surfaces and silicon wafers. Preferably the solid support is a glass surface.

"Means for attaching nucleic acids to a solid support" as used herein refers to any chemical or non- 25 chemical attachment method including chemically-modifiable functional groups. "Attachment" relates to immobilization of nucleic acid on solid supports by either a covalent attachment or via irreversible passive adsorption or via affinity between molecules (for 30 example, immobilization on an avidin-coated surface by biotinylated molecules). The attachment must be of sufficient strength that it cannot be removed by washing with water or aqueous buffer under DNA-denaturing 35 conditions.

"Chemically-modifiable functional group" as used herein refers to a group such as for example, a

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phosphate group, a carboxylic or aldehyde moiety, a thiol, or an amino group.

"Nucleic acid colony" as used herein refers to a discrete area comprising multiple copies of a nucleic acid strand. Multiple copies of the complementary strand to the nucleic acid strand may also be present in the same colony. The multiple copies of the nucleic acid strands making up the colonies are generally immobilised on a solid support and may be in a single or double stranded form. The nucleic acid colonies of the invention can be generated in different sizes and densities depending on the conditions used. The size of colonies is preferably from 0.2  $\mu\text{m}$  to 6  $\mu\text{m}$ , more preferably from 0.3  $\mu\text{m}$  to 4  $\mu\text{m}$ . The density of nucleic acid colonies for use in the method of the invention is typically 10,000/ $\text{mm}^2$  to 100,000/ $\text{mm}^2$ . It is believed that higher densities, for example, 100,000/ $\text{mm}^2$  to 1,000,000/ $\text{mm}^2$  and 1,000,000/ $\text{mm}^2$  to 10,000,000/ $\text{mm}^2$  may be achieved.

The methods of the invention can be used to generate nucleic acid colonies. Thus, a further aspect of the invention provides one or more nucleic acid colonies. A nucleic acid colony of the invention may be generated from a single immobilised nucleic acid template of the invention. The method of the invention allows the simultaneous production of a number of such nucleic acid colonies, each of which may contain different immobilised nucleic acid strands.

Thus, a yet further aspect of the invention provides a plurality of nucleic acid templates comprising the nucleic acids to be amplified, wherein said nucleic acids contain at their 5' ends an oligonucleotide sequence Y and at the 3' end an oligonucleotide sequence Z and, in addition, the nucleic acid(s) carry at the 5' end a means for attaching the nucleic acid(s) to a solid support. Preferably this plurality of nucleic acid templates are mixed with a

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plurality of colony primers X which can hybridize to the oligonucleotide sequence Z and carry at the 5' end a means for attaching the colony primers to a solid support. Preferably said plurality of nucleic acid  
5 templates and colony primers are covalently bound to a solid support.

In a further embodiment of the invention, pluralities of two different colony primers X are mixed with the plurality of nucleic acid templates.  
10 Preferably the sequences of colony primers X are such that the oligonucleotide sequence Z can hybridise to one of the colony primers X and the oligonucleotide sequence Y is the same as the sequence of one of the colony primers X.

15 In an alternative embodiment, the oligonucleotide sequence Z is complementary to oligonucleotide sequence Y, (Y') and the plurality of colony primers X are of the same sequence as oligonucleotide sequence Y.

In a yet further embodiment, the plurality of  
20 colony primers X may comprise a degenerate primer sequence and the plurality of nucleic acid templates comprise the nucleic acids to be amplified and do not contain oligonucleotide sequences Y or Z at the 5' and 3' ends respectively.

25 The nucleic acid templates of the invention may be prepared using techniques which are standard or conventional in the art. Generally these will be based on genetic engineering techniques.

The nucleic acids to be amplified can be obtained  
30 using methods well known and documented in the art. For example, by obtaining a nucleic acid sample such as, total DNA, genomic DNA, cDNA, total RNA, mRNA etc. by methods well known and documented in the art and generating fragments therefrom by, for example, limited  
35 restriction enzyme digestion or by mechanical means.

Typically, the nucleic acid to be amplified is first obtained in double stranded form. When the

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nucleic acid is provided in single stranded form, for example mRNA, it is first made into a double stranded form by means well known and documented in the art, for example, using oligo-dT primers and reverse transcriptase and DNA polymerase. Once the nucleic acid to be amplified is obtained in double stranded form of appropriate length, oligonucleotide sequences corresponding to the oligonucleotide sequences Y and Z are joined to each end, i.e. to both the 5' and 3' ends of the nucleic acid sequence to form a nucleic acid template. This can be done using methods which are well known and documented in the art, for example by ligation, or by inserting the nucleic acid to be amplified into a biological vector at a site which is flanked by the appropriate oligonucleotide sequences. Alternatively, if at least part of the sequence of the nucleic acid to be amplified is known, the nucleic acid template containing oligonucleotide sequences Y and Z at the 5' and 3' ends respectively, may be generated by PCR using appropriate PCR primers which include sequences specific to the nucleic acid to be amplified. Before attaching the nucleic acid template to the solid support, it can be made into a single stranded form using methods which are well known and documented in the art, for example by heating to approximately 94°C and quickly cooling to 0°C on ice.

The oligonucleotide sequence contained at the 5' end of the nucleic acid can be of any sequence and any length and is denoted herein as sequence Y. Suitable lengths and sequences of oligonucleotide can be selected using methods well known and documented in the art. For example the oligonucleotide sequences attached to each end of the nucleic acid to be amplified are normally relatively short nucleotide sequences of between 5 and 100 nucleotides in length. The oligonucleotide sequence contained at the 3' end of the nucleic acid can be of any sequence and any length and is denoted herein as



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sequence Z. Suitable lengths and sequences of oligonucleotide can be selected using methods well known and documented in the art. For example the oligonucleotide sequences contained at each end of the nucleic acid to be amplified are normally relatively short nucleotide sequences of between 5 and 100 nucleotides in length.

The sequence of the oligonucleotide sequence Z is such that it can hybridise to one of the colony primers X. Preferably, the sequence of the oligonucleotide sequence Y is such that it is the same as one of the colony primers X. More preferably, the oligonucleotide sequence Z is complementary to oligonucleotide sequence Y (Y') and the colony primers X are of the same sequence as oligonucleotide sequence Y.

The oligonucleotide sequences Y and Z of the invention may be prepared using techniques which are standard or conventional in the art, or may be purchased from commercial sources.

When producing the nucleic acid templates of the invention additional desirable sequences can be introduced by methods well known and documented in the art. Such additional sequences include, for example, restriction enzyme sites or certain nucleic acid tags to enable amplification products of a given nucleic acid template sequence to be identified. Other desirable sequences include fold-back DNA sequences (which form hairpin loops or other secondary structures when rendered single-stranded), 'control' DNA sequences which direct protein/DNA interactions, such as for example a promoter DNA sequence which is recognised by a nucleic acid polymerase or an operator DNA sequence which is recognised by a DNA-binding protein.

If there are a plurality of nucleic acid sequences to be amplified then the attachment of oligonucleotides Y and Z can be carried out in the same or different reaction.

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Once a nucleic acid template has been prepared, it may be amplified before being used in the methods of the present invention. Such amplification may be carried out using methods well known and documented in the art, for example by inserting the template nucleic acid into an expression vector and amplifying it in a suitable biological host, or amplifying it by PCR. This amplification step is not however essential, as the method of the invention allows multiple copies of the nucleic acid template to be produced in a nucleic acid colony generated from a single copy of the nucleic acid template.

Preferably the 5' end of the nucleic acid template prepared as described above is modified to carry a means for attaching the nucleic acid templates covalently to a solid support. Such a means can be, for example, a chemically modifiable functional group, such as, for example a phosphate group, a carboxylic or aldehyde moiety, a thiol, or an amino group. Most preferably, the thiol, phosphate or amino group is used for 5'-modification of the nucleic acid.

The colony primers of the invention may be prepared using techniques which are standard or conventional in the art. Generally, the colony primers of the invention will be synthetic oligonucleotides generated by methods well known and documented in the art or may be purchased from commercial sources.

According to the method of the invention one or two different colony primers X, can be used to amplify any nucleic acid sequence. This contrasts with and has an advantage over many of the amplification methods known in the art such as, for example, that disclosed in WO 96/04404, where different specific primers must be designed for each particular nucleic acid sequence to be amplified.

Preferably the 5' ends of colony primers X of the invention are modified to carry a means for attaching

the colony primers covalently to the solid support. Preferably this means for covalent attachment is a chemically modifiable functional group as described above. If desired, the colony primers can be designed to include additional desired sequences such as, for example, restriction endonuclease sites or other types of cleavage sites each as ribozyme cleavage sites. Other desirable sequences include fold-back DNA sequences (which form hairpin loops or other secondary structures when rendered single-stranded), 'control' DNA sequences which direct a protein/DNA interaction, such as for example a promoter DNA sequence which is recognised by a nucleic acid polymerase or an operator DNA sequence which is recognised by a DNA-binding protein.

Immobilisation of a colony primer X to a support by the 5' end leaves its 3' end remote from the support such that the colony primer is available for chain extension by a polymerase once hybridisation with a complementary oligonucleotide sequence contained at the 3' end of the nucleic acid template has taken place.

Once both the nucleic acid templates and colony primers of the invention have been synthesised they are mixed together in appropriate proportions so that when they are attached to the solid support an appropriate density of attached nucleic acid templates and colony primers is obtained. Preferably the proportion of colony primers in the mixture is higher than the proportion of nucleic acid templates. Preferably the ratio of colony primers to nucleic acid templates is such that when the colony primers and nucleic acid templates are immobilised to the solid support a "lawn" of colony primers is formed comprising a plurality of colony primers being located at an approximately uniform density over the whole or a defined area of the solid support, with one or more nucleic acid templates being immobilised individually at intervals within the lawn of

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colony primers.

The nucleic acid templates may be provided in single stranded form. However, they may also be provided totally or partly in double stranded form, either with one 5' end or both 5' ends modified so as to allow attachment to the support. In that case, after completion of the attachment process, one might want to separate strands by means known in the art, e.g. by heating to 94°C, before washing the released strands away. It will be appreciated that in the case where both strands of the double stranded molecules have reacted with the surface and are both attached, the result will be the same as in the case when only one strand is attached and one amplification step has been performed. In other words, in the case where both strands of a double stranded template nucleic acid have been attached, both strands are necessarily attached close to each other and are indistinguishable from the result of attaching only one strand and performing one amplification step. Thus, single stranded and double stranded template nucleic acids might be used for providing template nucleic acids attached to the surface and suitable for colony generation.

The distance between the individual colony primers and the individual nucleic acid templates (and hence the density of the colony primers and nucleic acid templates) can be controlled by altering the concentration of colony primers and nucleic acid templates that are immobilised to the support. A preferred density of colony primers is at least 1 fmol/mm<sup>2</sup>, preferably at least 10 fmol/mm<sup>2</sup>, more preferably between 30 to 60 fmol/mm<sup>2</sup>. The density of nucleic acid templates for use in the method of the invention is typically 10,000/mm<sup>2</sup> to 100,000/mm<sup>2</sup>. It is believed that higher densities, for example, 100,000/mm<sup>2</sup> to 1,000,000/mm<sup>2</sup> and 1,000,000/mm<sup>2</sup> to 10,000,000/mm<sup>2</sup> may be achieved.

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Controlling the density of attached nucleic acid templates and colony primers in turn allows the final density of nucleic acid colonies on the surface of the support to be controlled. This is due to the fact that according to the method of the invention, one nucleic acid colony can result from the attachment of one nucleic acid template, providing the colony primers of the invention are present in a suitable location on the solid support (see in more detail below). The density of nucleic acid molecules within a single colony can also be controlled by controlling the density of attached colony primers.

Once the colony primers and nucleic acid templates of the invention have been immobilised on the solid support at the appropriate density, nucleic acid colonies of the invention can then be generated by carrying out an appropriate number of cycles of amplification on the covalently bound template nucleic acid so that each colony comprises multiple copies of the original immobilised nucleic acid template and its complementary sequence. One cycle of amplification consists of the steps of hybridisation, extension and denaturation and these steps are generally performed using reagents and conditions well known in the art for PCR.

A typical amplification reaction comprises subjecting the solid support and attached nucleic acid template and colony primers to conditions which induce primer hybridisation, for example subjecting them to a temperature of around 65°C. Under these conditions the oligonucleotide sequence Z at the 3' end of the nucleic acid template will hybridise to the immobilised colony primer X and in the presence of conditions and reagents to support primer extension, for example a temperature of around 72°C, the presence of a nucleic acid polymerase, for example, a DNA dependent DNA polymerase or a reverse transcriptase molecule (i.e. an RNA

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dependent DNA polymerase), or an RNA polymerase, plus a supply of nucleoside triphosphate molecules or any other nucleotide precursors, for example modified nucleoside triphosphate molecules, the colony primer will be  
5 extended by the addition of nucleotides complementary to the template nucleic acid sequence.

Examples of nucleic acid polymerases which can be used in the present invention are DNA polymerase (Klenow fragment, T4 DNA polymerase), heat-stable DNA  
10 polymerases from a variety of thermostable bacteria (such as Taq, VENT, Pfu, Tfl DNA polymerases) as well as their genetically modified derivatives (TaqGold, VENTexo, Pfu exo). A combination of RNA polymerase and reverse transcriptase can also be used to generate the  
15 amplification of a DNA colony. Preferably the nucleic acid polymerase used for colony primer extension is stable under PCR reaction conditions, i.e. repeated cycles of heating and cooling, and is stable at the denaturation temperature used, usually approximately  
20 94°C. Preferably the DNA polymerase used is Taq DNA polymerase.

Preferably the nucleoside triphosphate molecules used are deoxyribonucleotide triphosphates, for example dATP, dTTP, dCTP, dGTP, or are ribonucleoside  
25 triphosphates for example dATP, dUTP, dCTP, dGTP. The nucleoside triphosphate molecules may be naturally or non-naturally occurring.

After the hybridisation and extension steps, on subjecting the support and attached nucleic acids to  
30 denaturation conditions two immobilised nucleic acids will be present, the first being the initial immobilised nucleic acid template and the second being a nucleic acid complementary thereto, extending from one of the immobilised colony primers X. Both the original  
35 immobilised nucleic acid template and the immobilised extended colony primer formed are then able to initiate further rounds of amplification on subjecting the

support to further cycles of hybridisation, extension and denaturation. Such further rounds of amplification will result in a nucleic acid colony comprising multiple immobilised copies of the template nucleic acid and its complementary sequence.

The initial immobilisation of the template nucleic acid means that the template nucleic acid can only hybridise with colony primers located at a distance within the total length of the template nucleic acid.

Thus the boundary of the nucleic acid colony formed is limited to a relatively local area to the area in which the initial template nucleic acid was immobilised.

Clearly, once more copies of the template molecule and its complement have been synthesised by carrying out further rounds of amplification, ie. further rounds of hybridisation, extension and denaturation, then the boundary of the nucleic acid colony being generated will be able to be extended further, although the boundary of the colony formed is still limited to a relatively local area to the area in which the initial nucleic acid template was immobilised.

A schematic representation of a method of nucleic acid colony generation according to an embodiment of the present invention is shown in Figure 1. Figure 1(a) shows a colony primer X of the invention (shown here as having the sequence ATT), and a nucleic acid template of the invention containing at the 5' end an oligonucleotide sequence Y, here shown as ATT and at the 3' end an oligonucleotide sequence Z, here shown as AAT, which can hybridise to the colony primer sequence X. In the schematic representation the colony primer X and the oligonucleotide sequences Y and Z are shown as being of only three nucleotides in length. In practice however, it will be appreciated that longer sequences would normally be used. The 5' ends of both the colony primer and the nucleic acid template carry a means for attaching the nucleic acid to a solid support. This

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means is denoted in Figure 1 as a black square. This means of attaching may result in a covalent or a non-covalent attachment.

Only one colony primer X and one template nucleic acid are shown in Figure 1(a) for simplicity. However, in practice a plurality of colony primers X will be present with a plurality of nucleic acid templates. The plurality of colony primers X may comprise two different colony primers X. However, for simplicity the schematic representation shown in Figure 1 shows only one type of colony primer X, with the sequence ATT. The plurality of nucleic acid templates may comprise different nucleic acid sequences in the central portion between the oligonucleotides Y and Z, but contain the same oligonucleotide sequences Y and Z at the 5' and 3' ends respectively. Only one species of nucleic acid template is shown for simplicity in Figure 1, in which a portion of the sequence in the central portion is shown as CCG.

In the presence of a solid support, the 5' ends of both the nucleic acid template and colony primer bind to the support. This is depicted in Figure 1(b). The support and the attached nucleic acid template and colony primers are then subjected to conditions which induce primer hybridisation. Figure 1(c) shows a nucleic acid template that has hybridised to a colony primer. Such hybridisation is enabled by virtue of the fact that the oligonucleotide sequence Z at the 3' end of the nucleic acid template can hybridise to the colony primer. In the schematic representation oligonucleotide sequence Z is shown to be complementary to the colony primer, although in practice an exact complementary sequence is not essential, providing hybridisation can occur under the conditions the nucleic acid templates and colony primers are subjected to.

Figure 1(d) shows the stage of primer extension. Here, under appropriate conditions of temperature and in the presence of a DNA polymerase and a supply of



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nucleotide precursors, for example dATP, dTTP, dCTP and dGTP, the DNA polymerase extends the colony primer from its 3' end using the nucleic acid template as a template. When primer extension is complete, see Figure 1(e), it can be seen that a second immobilised nucleic acid strand has been generated which is complementary to the initial nucleic acid template. On separating the two nucleic acid strands by, for example heating, two immobilised nucleic acids will be present, the first being the initial immobilised nucleic acid template and the second being a nucleic acid complementary thereto, extending from one of the immobilised colony primers X, see Figure 1(f).

Both the original immobilised nucleic acid template and the immobilised extended colony primer formed are then able to hybridise to other colony primers present (depicted as colony primers 2 and 3 in Figure 1(g)) and after a further round of primer extension (Figure 1(h)) and strand separation (Figure 1(i)), four single stranded immobilised strands are provided. Two of these contain sequences corresponding to the original nucleic acid template and two contain sequences complementary thereto.

Further rounds of amplification beyond those shown in Figure 1 can be carried out to result in a nucleic acid colony comprising multiple immobilised copies of the template nucleic acid and its complementary sequence.

It can thus be seen that the method of the present invention allows the generation of a nucleic acid colony from a single immobilised nucleic acid template and that the size of these colonies can be controlled by altering the number of rounds of amplification that the nucleic acid template is subjected to. Thus the number of nucleic acid colonies formed on the surface of the solid support is dependent upon the number of nucleic acid templates which are initially immobilised to the

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support, providing there is a sufficient number of immobilised colony primers within the locality of each immobilised nucleic acid template. It is for this reason that preferably the solid support to which the colony primers and nucleic acid templates have been immobilised comprises a lawn of immobilised colony primers at an appropriate density with nucleic acid templates immobilised at intervals within the lawn of primers.

Such so called "autopatterning" of nucleic acid colonies has an advantage over many methods of the prior art in that a higher density of nucleic acid colonies can be obtained due to the fact that the density can be controlled by regulating the density at which the nucleic acid templates are originally immobilised. Such a method is thus not limited by, for example, having specifically to array specific primers on particular local areas of the support and then initiate colony formation by spotting a particular sample containing nucleic acid template on the same local area of primer. The numbers of colonies that can be arrayed using prior art methods, for example those disclosed in WO96/04404 (Mosaic Technologies, Inc.) is thus limited by the density/spacing at which the specific primer areas can be arrayed in the initial step.

By being able to control the initial density of the nucleic acid templates and hence the density of the nucleic acid colonies resulting from the nucleic acid templates, together with being able to control the size of the nucleic acid colonies formed and in addition the density of the nucleic acid templates within individual colonies, an optimum situation can be reached wherein a high density of individual nucleic acid colonies can be produced on a solid support of a large enough size and containing a large enough number of amplified sequences to enable subsequent analysis or monitoring to be performed on the nucleic acid colonies.

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Once nucleic acid colonies have been generated it may be desirable to carry out an additional step such as for example colony visualisation or sequence determination (see later). Colony visualisation might  
5 for example be required if it was necessary to screen the colonies generated for the presence or absence of for example the whole or part of a particular nucleic acid fragment. In this case the colony or colonies which contain the particular nucleic acid fragment could  
10 be detected by designing a nucleic acid probe which specifically hybridises to the nucleic acid fragment of interest.

Such a nucleic acid probe is preferably labelled with a detectable entity such as a fluorescent group, a  
15 biotin containing entity (which can be detected by for example an incubation with streptavidin labelled with a fluorescent group), a radiolabel (which can be incorporated into a nucleic acid probe by methods well known and documented in the art and detected by  
20 detecting radioactivity for example by incubation with scintillation fluid), or a dye or other staining agent.

Alternatively, such a nucleic acid probe may be unlabelled and designed to act as a primer for the incorporation of a number of labelled nucleotides with a  
25 nucleic acid polymerase. Detection of the incorporated label and thus the nucleic acid colonies can then be carried out.

The nucleic acid colonies of the invention are then prepared for hybridisation. Such preparation involves  
30 the treatment of the colonies so that all or part of the nucleic acid templates making up the colonies is present in a single stranded form. This can be achieved for example by heat denaturation of any double stranded DNA in the colonies. Alternatively the colonies may be  
35 treated with a restriction endonuclease specific for a double stranded form of a sequence in the template nucleic acid. Thus the endonuclease may be specific for

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either a sequence contained in the oligonucleotide sequences Y or Z or another sequence present in the template nucleic acid. After digestion the colonies are heated so that double stranded DNA molecules are separated and the colonies are washed to remove the non-immobilised strands thus leaving attached single stranded DNA in the colonies.

After preparation of the colonies for hybridisation, the labelled or unlabelled probe is then added to the colonies under conditions appropriate for the hybridisation of the probe with its specific DNA sequence. Such conditions may be determined by a person skilled in the art using known methods and will depend on for example the sequence of the probe.

The probe may then be removed by heat denaturation and, if desired, a probe specific for a second nucleic acid may be hybridised and detected. These steps may be repeated as many times as is desired.

Labelled probes which are hybridised to nucleic acid colonies can then be detected using apparatus including an appropriate detection device. A preferred detection system for fluorescent labels is a charge-coupled device (CCD) camera, which can optionally be coupled to a magnifying device, for example a microscope. Using such technology it is possible to simultaneously monitor many colonies in parallel. For example, using a microscope with a CCD camera and a 10x or 20x objective it is possible to observe colonies over a surface of between 1 mm<sup>2</sup> and 4 mm<sup>2</sup>, which corresponds to monitoring between 10 000 and 200 000 colonies in parallel. Moreover, it is anticipated that this number will increase with improved optics and larger chips.

An alternative method of monitoring the colonies generated is to scan the surface covered with colonies. For example systems in which up to 100 000 000 colonies could be arrayed simultaneously and monitored by taking pictures with the CCD camera over the whole surface can

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be used. In this way, it can be seen that up to 100 000 000 colonies could be monitored in a short time.

Any other devices allowing detection and preferably quantitation of fluorescence on a surface may be used to  
5 monitor the nucleic acid colonies of the invention. For example fluorescent imagers or confocal microscopes could be used.

If the labels are radioactive then a radioactivity detection system would be required.

10 In methods of the present invention wherein the additional step of performing at least one step of sequence determination of at least one of the nucleic acid colonies generated is performed, said sequence determination may be carried out using any appropriate  
15 solid phase sequencing technique. For example, one technique of sequence determination that may be used in the present invention involves hybridising an appropriate primer, sometimes referred to herein as a "sequencing primer", with the nucleic acid template to  
20 be sequenced, extending the primer and detecting the nucleotides used to extend the primer. Preferably the nucleic acid used to extend the primer is detected before a further nucleotide is added to the growing nucleic acid chain, thus allowing base by base in situ  
25 nucleic acid sequencing.

The detection of incorporated nucleotides is facilitated by including one or more labelled nucleotides in the primer extension reaction. Any appropriate detectable label may be used, for example a  
30 fluorophore, radiolabel etc. Preferably a fluorescent label is used. The same or different labels may be used for each different type of nucleotide. Where the label is a fluorophore and the same labels are used for each different type of nucleotide, each nucleotide  
35 incorporation can provide a cumulative increase in signal detected at a particular wavelength. If different labels are used then these signals may be

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detected at different appropriate wavelengths. If desired a mixture of labelled and unlabelled nucleotides are provided.

5 In order to allow the hybridisation of an appropriate sequencing primer to the nucleic acid template to be sequenced the nucleic acid template should normally be in a single stranded form. If the nucleic acid templates making up the nucleic acid colonies are present in a double stranded form these can  
10 be processed to provide single stranded nucleic acid templates using methods well known in the art, for example by denaturation, cleavage etc..

The sequencing primers which are hybridised to the nucleic acid template and used for primer extension are  
15 preferably short oligonucleotides, for example of 15 to 25 nucleotides in length. The sequence of the primers is designed so that they hybridise to part of the nucleic acid template to be sequenced, preferably under stringent conditions. The sequence of the primers used  
20 for sequencing may have the same or similar sequences to that of the colony primers used to generate the nucleic acid colonies of the invention. The sequencing primers may be provided in solution or in an immobilised form.

Once the sequencing primer has been annealed to the  
25 nucleic acid template to be sequenced by subjecting the nucleic acid template and sequencing primer to appropriate conditions, determined by methods well known in the art, primer extension is carried out, for example using a nucleic acid polymerase and a supply of  
30 nucleotides, at least some of which are provided in a labelled form, and conditions suitable for primer extension if a suitable nucleotide is provided. Examples of nucleic acid polymerases and nucleotides which may be used are described above.

35 Preferably after each primer extension step a washing step is included in order to remove unincorporated nucleotides which may interfere with

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subsequent steps. Once the primer extension step has been carried out the nucleic acid colony is monitored in order to determine whether a labelled nucleotide has been incorporated into an extended primer. The primer extension step may then be repeated in order to determine the next and subsequent nucleotides incorporated into an extended primer.

Any device allowing detection and preferably quantitation of the appropriate label, for example fluorescence or radioactivity, may be used for sequence determination. If the label is fluorescent a CCD camera optionally attached to a magnifying device (as described above), may be used. In fact the devices used for the sequence determining aspects of the present invention may be the same as those described above for monitoring the amplified nucleic acid colonies.

The detection system is preferably used in combination with an analysis system in order to determine the number and nature of the nucleotides incorporated at each colony after each step of primer extension. This analysis, which may be carried out immediately after each primer extension step, or later using recorded data, allows the sequence of the nucleic acid template within a given colony to be determined.

If the sequence being determined is unknown, the nucleotides applied to a given colony are usually applied in a chosen order which is then repeated throughout the analysis, for example dATP, dTTP, dCTP, dGTP. If however, the sequence being determined is known and is being resequenced, for example to analyse whether or not small differences in sequence from the known sequence are present, the sequencing determination process may be made quicker by adding the nucleotides at each step in the appropriate order, chosen according to the known sequence. Differences from the given sequence are thus detected by the lack of incorporation of certain nucleotides at particular stages of primer

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extension.

Thus it can be seen that full or partial sequences of the amplified nucleic acid templates making up particular nucleic acid colonies may be determined using the methods of the present invention.

In a further embodiment of the present invention, the full or partial sequence of more than one nucleic acid can be determined by determining the full or partial sequence of the amplified nucleic acid templates present in more than one nucleic acid colony. Preferably a plurality of sequences are determined simultaneously.

Carrying out sequence determination of nucleic acids using the method of the present invention has the advantage that it is likely to be very reliable due to the fact that large numbers of each nucleic acid to be sequenced are provided within each nucleic acid colony of the invention. If desired, further improvements in reliability can be obtained by providing a plurality of nucleic acid colonies comprising the same nucleic acid template to be sequenced, then determining the sequence for each of the plurality of colonies and comparing the sequences thus determined.

Preferably the attachment of the colony primer and nucleic acid template to the solid support is thermostable at the temperature to which the support may be subjected to during the nucleic acid amplification reaction, for example temperatures of up to approximately 100°C, for example approximately 94°C. Preferably the attachment is covalent in nature.

In a yet further embodiment of the invention the covalent binding of the colony primers and nucleic acid template(s) to the solid support is induced by a crosslinking agent such as for example 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide hydrochloride (EDC), succinic anhydride, phenyldiisothiocyanate or maleic anhydride, or a hetero-bifunctional crosslinker such as



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for example m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS), N-succinimidyl[4-iodoacetyl] aminobenzoate (SIAB), Succinimidyl 4-[N-maleimidomethyl]cyclohexane-1-carboxylate (SMCC), N-γ-maleimidobutyryloxy-succinimide ester (GMBS), Succinimidyl-4-[p-maleimidophenyl] butyrate (SMPB) and the sulfo (water-soluble) corresponding compounds. The preferred crosslinking reagents for use in the present invention, are s-SIAB, s-MBS and EDC.

In a yet further embodiment of the invention the solid support has a derivatised surface. In a yet further embodiment the derivatised surface of the solid support is subsequently modified with bifunctional crosslinking groups to provide a functionalised surface, preferably with reactive crosslinking groups.

"Derivatised surface" as used herein refers to a surface which has been modified with chemically reactive groups, for example amino, thiol or acrylate groups.

"Functionalised surface" as used herein refers to a derivatised surface which has been modified with specific functional groups, for example the maleic or succinic functional moieties.

In the method of the present invention, to be useful for certain applications, the attachment of colony primers and nucleic acid templates to a solid support has to fulfill several requirements. The ideal attachment should not be affected by either the exposure to high temperatures and the repeated heating/cooling cycles employed during the nucleic acid amplification procedure. Moreover the support should allow the obtaining of a density of attached colony primers of at least 1 fmol/mm<sup>2</sup>, preferably at least 10 fmol/mm<sup>2</sup>, more preferably between 30 to 60 fmol/mm<sup>2</sup>. The ideal support should have a uniformly flat surface with low fluorescence background and should also be thermally stable (non-deformable). Solid supports, which allow the passive adsorption of DNA, as in certain types of

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plastic and synthetic nitrocellulose membranes, are not suitable. Finally, the solid support should be disposable, thus should not be of a high cost.

For these reasons, although the solid support may be any solid surface to which nucleic acids can be attached, such as for example latex beads, dextran beads, polystyrene, polypropylene surface, polyacrylamide gel, gold surfaces, glass surfaces and silicon wafers, preferably the solid support is a glass surface and the attachment of nucleic acids thereto is a covalent attachment.

The covalent binding of the colony primers and nucleic acid templates to the solid support can be carried out using techniques which are known and documented in the art. For example, epoxysilane-amino covalent linkage of oligonucleotides on solid supports such as porous glass beads has been widely used for solid phase in situ synthesis of oligonucleotides (via a 3' end attachment) and has also been adapted for 5' end oligonucleotide attachment. Oligonucleotides modified at the 5' end with carboxylic or aldehyde moieties have been covalently attached on hydrazine-derivatized latex beads (Kremsky et al 1987).

Other approaches for the attachment of oligonucleotides to solid surfaces use crosslinkers, such as succinic anhydride, phenyldiisothiocyanate (Guo et al 1994), or maleic anhydride (Yang et al 1998). Another widely used crosslinker is 1-ethyl-3-(3-dimethylamonipropyl)-carbodiimide hydrochloride (EDC). EDC chemistry was first described by Gilham et al (1968) who attached DNA templates to paper (cellulose) via the 5' end terminal phosphate group. Using EDC chemistry, other supports have been used such as, latex beads (Wolf et al 1987, Lund et al 1988), polystyrene microwells (Rasmussen et al 1991), controlled-pore glass (Ghosh et al 1987) and dextran molecules (Gingeras et al 1987). The condensation of 5' amino-modified oligonucleotides

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with carbodiimide mediated reagent have been described by Chu et al (1983), and by Egan et al (1982) for 5' terminal phosphate modification group.

5 The yield of oligonucleotide attachment via the 5' termini using carbodiimides can reach 60%, but non-specific attachment via the internal nucleotides of the oligonucleotide is a major drawback. Rasmussen et al (1991) have enhanced to 85% the specific attachment via the 5' end by derivatizing the surface using secondary  
10 amino groups.

More recent papers have reported the advantages of the hetero-bifunctional cross-linkers. Hetero- or mono-bifunctional cross-linkers have been widely used to prepare peptide carrier conjugate molecules (peptide-  
15 protein) in order to enhance immunogenicity in animals (Peeters et al 1989). Most of these grafting reagents have been described to form stable covalent links in aqueous solution. These crosslinking reagents have been used to bind DNA onto a solid surface at only one point  
20 of the molecule.

Chrissey et al (1996) have studied the efficiency and stability of DNA solid phase attachment using 6 different hetero-bifunctional cross-linkers. In this example, the attachment occurs only at the 5' end of DNA  
25 oligomers modified by a thiol group. This type of attachment has also been described by O'Donnell-Maloney et al (1996) for the attachment of DNA targets in a MALDI-TOF sequence analysis and by Hamamatsu Photonics F.K. company (EP-A-665293) for determining base sequence  
30 of nucleic acid on a solid surface.

Very few studies concerning the thermal stability of the attachment of the oligonucleotides to the solid support have been done. Chrissey et al (1996) reported that with the Succinimidyl-4-[p-maleimidophenyl]  
35 butyrate (SMPB) cross-linker, almost 60% of molecules are released from the glass surface during heat treatment. But the thermal stability of the other

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reagents have not been described.

In order to generate nucleic acid colonies via the solid phase amplification reaction as described in the present application, colony primers and nucleic acid  
5 templates need to be specifically attached at their 5' ends to the solid surface, preferably glass. Briefly, the glass surface can be derivatized with reactive amino groups by silanization using amino-alkoxy silanes. Suitable silane reagents include aminopropyltrimethoxy-  
10 silane, aminopropyltriethoxysilane and 4-aminobutyltriethoxysilane. Glass surfaces can also be derivatized with other reactive groups, such as acrylate or epoxy using epoxysilane, acrylatesilane and acrylamidesilane. Following the derivatization step, nucleic acid  
15 molecules (colony primers or nucleic acid templates) having a chemically modifiable functional group at their 5' end, for example phosphate, thiol or amino groups are covalently attached to the derivatized surface by a crosslinking reagent such as those described above.

20 Alternatively, the derivatization step can be followed by attaching a bifunctional cross-linking reagent to the surface amino groups thereby providing a modified functionalized surface. Nucleic acid molecules (colony primers or nucleic acid templates) having 5'-  
25 phosphate, thiol or amino groups are then reacted with the functionalized surface forming a covalent linkage between the nucleic acid and the glass.

Potential cross-linking and grafting reagents that can be used for covalent DNA/oligonucleotide grafting on  
30 the solid support include succinic anhydride, (1-ethyl-3-[3-dimethylaminopropyl] carbodiimide.hydrochloride (EDC), m-maleimidobenzoyl-N-hydroxysuccinimide ester (MBS), N-succinimidyl[4-iodoacethyl] aminobenzoate (SIAB), Succinimidyl 4-[N-maleimidomethyl]cyclohexane-1-  
35 carboxylate (SMCC), N-γ-maleimidobutyryloxy-succinimide ester (GMBS), Succinimidyl-4-[p-maleimidophenyl] butyrate (SMPB) and the sulfo (water-soluble)

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corresponding compounds. The preferred crosslinking reagents, according to the present invention, are s-SIAB, s-MBS and EDC. s-MBS is a maleimide-succinimide hetero-bifunctional cross-linker and s-SIAB is an iodoacethyl-succinimide hetero-bifunctional cross-linker. Both are capable of forming a covalent bond respectively with SH groups and primary amino groups. EDC is a carbodiimide-reagent that mediates covalent attachment of phosphate and amino groups.

The colony primers and nucleic acid templates are generally modified at the 5' end by a phosphate group (for EDC grafting reagent) or a thiol group (for s-SIAB or s-MBS linkers).

Thus, a further aspect of the invention provides a solid support, to which there is attached a plurality of colony primers X as described above and at least one nucleic acid template as described above, wherein said nucleic acid templates contain at their 5' ends an oligonucleotide sequence Y as described above, and at their 3' ends an oligonucleotide sequence Z as described above. Preferably a plurality of nucleic acid templates are attached to said solid support, which is preferably glass. Preferably the attachment of the nucleic acid templates and colony primers to the solid support is covalent. By performing one or more rounds of nucleic acid amplification on the immobilised nucleic acid template(s) using methods as described above, nucleic acid colonies of the invention may be formed. A yet further aspect of the invention is, therefore, a support comprising one or more nucleic acid colonies of the invention. A yet further aspect of the invention provides the use of the solid supports of the invention in methods of nucleic acid amplification or sequencing. Such methods of nucleic acid amplification or sequencing include the methods of the present invention.

A yet further aspect of the invention provides the use of a derivatized or functionalized support, prepared

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as described above in methods of nucleic acid amplification or sequencing. Such methods of nucleic acid amplification or sequencing include the methods of the present invention.

5           A yet further aspect of the invention provides an apparatus for carrying out the methods of the invention or an apparatus for producing a solid support comprising nucleic acid colonies of the invention. Such apparatus might comprise for example a plurality of nucleic acid  
10 templates and colony primers of the invention bound, preferably covalently, to a solid support as outlined above, together with a nucleic acid polymerase, a plurality of nucleotide precursors such as those described above, a proportion of which may be labelled,  
15 and a means for controlling temperature. Alternatively, the apparatus might comprise for example a support comprising one or more nucleic acid colonies of the invention. Preferably the apparatus also comprises a detecting means for detecting and distinguishing signals  
20 from individual nucleic acid colonies arrayed on the solid support according to the methods of the present invention. For example such a detecting means might comprise a charge-coupled device operatively connected to a magnifying device such as a microscope as described  
25 above.

Preferably any apparatuses of the invention are provided in an automated form.

The present application provides a solution to current and emerging needs that scientists and the  
30 biotechnology industry are trying to address in the fields of genomics, pharmacogenomics, drug discovery, food characterization and genotyping. Thus the method of the present invention has potential application in for example: nucleic acid sequencing and re-sequencing,  
35 diagnostics and screening, gene expression monitoring, genetic diversity profiling, whole genome polymorphism discovery and scoring, the creation of genome slides

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(whole genome of a patient on a microscope slide) and whole genome sequencing.

Thus the present invention may be used to carry out nucleic acid sequencing and re-sequencing, where for example a selected number of genes are specifically amplified into colonies for complete DNA sequencing. Gene re-sequencing allows the identification of all known or novel genetic polymorphisms of the investigated genes. Applications are in medical diagnosis and genetic identification of living organisms.

For use of the present invention in diagnostics and screening, whole genomes or fractions of genomes may be amplified into colonies for DNA sequencing of known single nucleotide polymorphisms (SNP). SNP identification has application in medical genetic research to identify genetic risk factors associated with diseases. SNP genotyping will also have diagnostic applications in pharmaco-genomics for the identification and treatment of patients with specific medications.

For use of the present invention in genetic diversity profiling, populations of for example organisms or cells or tissues can be identified by the amplification of the sample DNA into colonies, followed by the DNA sequencing of the specific "tags" for each individual genetic entity. In this way, the genetic diversity of the sample can be defined by counting the number of tags from each individual entity.

For use of the present invention in gene expression monitoring, the expressed mRNA molecules of a tissue or organism under investigation are converted into cDNA molecules which are amplified into sets of colonies for DNA sequencing. The frequency of colonies coding for a given mRNA is proportional to the frequency of the mRNA molecules present in the starting tissue. Applications of gene expression monitoring are in bio-medical research.

A whole genome slide, where the entire genome of a

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living organism is represented in a number of DNA colonies numerous enough to comprise all the sequences of that genome may be prepared using the methods of the invention. The genome slide is the genetic card of any living organism. Genetic cards have applications in medical research and genetic identification of living organisms of industrial value.

The present invention may also be used to carry out whole genome sequencing where the entire genome of a living organism is amplified as sets of colonies for extensive DNA sequencing. Whole genome sequencing allows for example, 1) a precise identification of the genetic strain of any living organism; 2) to discover novel genes encoded within the genome and 3) to discover novel genetic polymorphisms.

The applications of the present invention are not limited to an analysis of nucleic acid samples from a single organism/patient. For example, nucleic acid tags can be incorporated into the nucleic acid templates and amplified, and different nucleic acid tags can be used for each organism/patient. Thus, when the sequence of the amplified nucleic acid is determined, the sequence of the tag may also be determined and the origin of the sample identified.

Thus, a further aspect of the invention provides the use of the methods of the invention, or the nucleic acid colonies of the invention, or the plurality of nucleic acid templates of the invention, or the solid supports of the invention, for providing nucleic acid molecules for sequencing and re-sequencing, gene expression monitoring, genetic diversity profiling, diagnosis, screening, whole genome sequencing, whole genome polymorphism discovery and scoring and the preparation of whole genome slides (ie. the whole genome of an individual on one support), or any other applications involving the amplification of nucleic acids or the sequencing thereof.



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A yet further aspect of the invention provides a kit for use in sequencing, re-sequencing, gene expression monitoring, genetic diversity profiling, diagnosis, screening, whole genome sequencing, whole genome polymorphism discovery and scoring, or any other applications involving the amplification of nucleic acids or the sequencing thereof. This kit comprises a plurality of nucleic acid templates and colony primers of the invention bound to a solid support, as outlined above.

The invention will now be described in more detail in the following non-limiting Examples with reference to the following drawings in which:

Figure 1: shows a schematic representation of a method of nucleic acid colony generation according to an embodiment of the invention.

Figure 2: Schematic representation of template preparation and subsequent attachment to the solid surface. In Figure 2a the preparation of Templates A, B and B' containing colony primer sequences is shown. The 3.2 Kb template is generated from genomic DNA using PCR primers TP1 and TP2. Templates A (854 bp) and B (927 bp) are generated using PCR primers TPA1/TPA2 or TPB1/TPB2, respectively. The TPA1 and TPB1 oligonucleotides are modified at their 5'-termini with either a phosphate or thiol group for subsequent chemical attachment (\*). Note that the templates obtained contain sequences corresponding to colony primers CP1 and/or CP2. The 11 exons of the gene are reported as "E1 to E11". In Figure 2b the chemical attachment of colony primers and templates to glass surface is shown. Derivatization by ATS (aminopropyl-triethoxysilane) is exemplified.

Figure 3: DNA colonies generated from a colony primer. It shows the number of colonies observed per 20X field as a function of the concentration of template bound to the well. The lowest concentration of

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detectable template corresponds to  $10^{-13}$  M.

Figure 4: Representation of discrimination between colonies originated from two different templates.

Figure 4a shows the images of colonies made from both  
5 templates and negative controls. Figure 4b shows the  
colonies from both templates at the same position in the  
same well visualised with two different colours and  
negative controls. Figure 4c shows the coordinates of  
both colony types in a sub-section of a microscopy  
10 field. Figure 4c demonstrates that colonies from  
different templates do not coincide.

Figure 5: Reaction schemes of the template or  
oligonucleotide attachment on glass. Step A is the  
derivatization of the surface: glass slide are treated  
15 with acidic solution to enhance free hydroxyl group on  
the surface. The pretreated slides are immersed into a  
solution of aminosilane. ATS: Aminopropyl  
triethoxysilane. Step B: B1 or B2 is the  
functionalization of glass surface with cross-linkers  
20 followed by oligonucleotide attachment. Amino group  
reacts with a cross linking agent via an amide bond:  
step B1; s-MBS (sulfo m-maleimidobenzoyl-N-hydroxy-  
succinimide ester) step B2; s-SIAB (sulfo  
N-succinimidyl[4-iodoacetyl] aminobenzoate). The  
25 oligonucleotides (5'end thiol modified oligonucleotide)  
are attached to the surface via formation of a covalent  
bound between the thiol and the double bond of the  
maleimide. Phosphate buffered saline: (PBS, 0.1 M  
NaH<sub>2</sub>PO<sub>4</sub>, pH:6.5, 0.15 M NaCl). B3: Attachment of  
30 oligonucleotides using EDC and Imidazole. 5'end  
phosphate of the modified oligonucleotides reacts with  
imidazole in the presence of EDC to give 5'-phosphor-  
imidazolide derivatives (not shown). The derivatives  
form a phosphoramidate bond with amino groups of the  
35 derivatized glass surface. EDC: 1-ethyl-3-(3-dimethyl-  
amonipropyl)-carbodiimide hydrochloride.

Figure 6: it shows the number of colonies observed

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per 20X field as a function of the concentration of template bound to the well. DNA template were bound at different concentration either via the mediated coupling reagent (EDC) on amino derivatized glass surface (A) or on s-MBS functionalized glass surface (B). Double strand DNA colonies were submitted to restriction enzyme and the recovered single strands hybridized with a complementary oligonucleotide, cy5 fluorescently labeled.

Figure 7: shows an example of in situ sequencing from DNA colonies generated on glass. Figure 7A shows the result after incubation with Cy5<sup>TM</sup>-dCTP on a sample that has not been incubated with primer p181. One will appreciate only 5 blurry spots can be observed, indicating that that no dramatic spurious effect is taking place (such as Cy5<sup>TM</sup>-dCTP aggregate precipitation, adsorption or simply non specific incorporation to the DNA in the colonies or on the surface). Figure 7B shows the result after incubation with Cy5<sup>TM</sup>-dUTP on a sample that has been incubated with primer p181. One will appreciate that no fluorescent spot can be observed, indicating that the incorporation of a fluorescent base cannot take place in detectable amounts when the nucleotide proposed for incorporation does not correspond to the sequence of the template following the hybridized primer. Figure 7C shows the result after incubation with Cy5<sup>TM</sup>-dCTP on a sample that has been incubated with primer p181. One will appreciate that many fluorescent spots can be observed, indicating that the incorporation of a fluorescent base can indeed take place in detectable amounts when the nucleotide proposed for incorporation does correspond to the sequence of the template following the hybridized primer.

### EXAMPLES

#### EXAMPLE 1: Preparation of DNA templates suitable for the

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generation of DNA colonies

DNA colonies have been generated from DNA templates and colony primers. The term "colony primer sequence" as  
5 used herein refers to a sequence corresponding to the sequence of a colony primer and is elsewhere sometimes referred to as "oligonucleotide sequence Y" or "oligonucleotide sequence Z".

10 The properties of the colony primers have been chosen based on a selection for oligonucleotide primers that show little non-specific nucleotide incorporation in the presence of heat-stable DNA polymerases. The colony primers, CP $\alpha$  (5'-p CACCAACCCAAACCAACCCAAACC) and CP $\beta$   
15 (5'-p AGAAGGAGAAGGAAAGGGAAAGGG) have been selected due to their low incorporation of radiolabeled [ $\alpha^{32}\text{P}$ -dCTP] in the presence of a stable DNA polymerase (AmpliTaq, Perkin Elmer, Foster City, CA) in the standard buffer and under thermocycling conditions (94°C for 30 seconds,  
20 65°C for 1 minute, 72°C for 2 minutes, 50 cycles).

A 3.2 Kb DNA fragment was taken as a model system to demonstrate the feasibility of colony generation using colony primers and DNA templates. The chosen template  
25 comprises the human gene for the receptor for advanced glycosylation end-products (HUMOXRAGE, GenBank Acc. No. D28769). The RAGE-specific primers are depicted in Table 1. The 3.2 Kb template was generated by PCR amplification from 0.1  $\mu\text{g}$  human genomic DNA with 1  $\mu\text{M}$   
30 primers TP1 and TP2 with 1 unit of DNA polymerase (AmpliTaq, Perkin Elmer, Foster City, CA) in the standard buffer and under thermocycling conditions (94°C for 30 seconds, 65°C for 1 minute, 72°C for 5 minutes, 40 cycles). This 3.2 Kb DNA fragment was used as a  
35 template for secondary PCR to generate two shorter templates for colony generation (Templates A and B). The primers used to generate the shorter templates

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contain both sequences specific to the template and sequences of colony primers CP1 and CP2 to amplify the DNA on the solid surface. In general, the PCR primer used to generate a DNA template is modified at the 5'-terminus with either a phosphate or thiol moiety. Thus after the PCR amplification, DNA fragments are generated which contain the colony primer sequences at one or both termini adjoining the RAGE DNA fragment of interest (see Figure 2a).

Template A (double stranded template containing the colony primer sequence, CP $\beta$  at both termini) was generated with 0.1 ng of the 3.2 Kb template with 1  $\mu$ M primers TPA1 and 1  $\mu$ M TPA2 with 1 unit of DNA polymerase (AmpliTag, Perkin Elmer, Foster City, CA) in the standard buffer and under thermocycling conditions (94°C for 30 seconds, 65°C for 1 minute, 72°C for 1 minutes, 30 cycles). The products were then purified over Qiagen Qia-quick columns (Qiagen GmbH, Hilden, Germany).

Template B (double stranded template which contains colony primer sequences corresponding to CP $\beta$ ) was generated with 0.1 ng of the 3.2 Kb template with 1  $\mu$ M primers TPB1 and 1  $\mu$ M TPB2 with 1 unit of DNA polymerase (AmpliTag, Perkin Elmer, Foster City, CA) in the standard buffer and under thermocycling conditions (94°C for 30 seconds, 65°C for 1 minute, 72°C for 1 minutes, 30 cycles). The products were then purified over Qiagen Qia-quick columns (Qiagen GmbH, Hilden, Germany).

Template B' (double stranded template containing colony primer sequences corresponding to CP $\alpha$  and CP $\beta$  at either end) was generated with 0.1 ng of the 3.2 Kb template with 1  $\mu$ M primers TPB3 and 1  $\mu$ M TPB4 with 1 unit of (AmpliTag, Perkin Elmer, Foster City, CA) in the standard buffer and under thermocycling conditions (94°C for 30 seconds, 65°C for 1 minute, 72°C for 1 minutes, 30

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cycles). The products were then purified over Qiagen Qia-quick columns (Qiagen GmbH, Hilden, Germany).

5 All the specific oligonucleotides employed for the DNA templates preparation and for the DNA colony generation have been reported in the Table 1 together with any chemical modification.

10 A general scheme showing the chemical attachment of colony primers and templates to the glass surface is reported in Figure 2b, where the derivatization by ATS (aminopropyltriethoxysilane) is reported, as a non-limitative example.

TABLE 1

15

List of oligonucleotides used for templates preparation and colonies generation:

20

Name	DNA sequence	Coordinates (orientation)	Oligonucleotide Modification	Use
TP1	GAGGCCAGAACAGT TCAAGG	9810 (R)		Template 3.2 Kb
TP2	CCTGTGACAAGACG ACTGAA	6550 (F)		Template 3.2 Kb
CP1	TTTTTTTTTTCACC AACCCAAACCAACC CAAACC	None	5'P	Generate colonies
CP2	TTTTTTTTTTTAGAA GGAGAAGGAAAGGG AAAGGG	None	5'P	Generate colonies
CP3	TTTTTTTTTTCACC AACCCAAACCAACC CAAACC	None	5'SH	Generate colonies
CP4	TTTTTTTTTTTAGAA GGAGAAGGAAAGGG AAAGGG	None	5'SH	Generate colonies
CP5	AGAAGGAGAAGGAA AGGGAAAGGGTTTT TTTTTTTTTTTTTNN	None	5'P	Generate colonies

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CP6	AGAAGGAGAAGGAA AGGGAAAGGGGG	None	5'P	Generate colonies
TPA1	AGAAGGAGAAGGAA AGGGAAAGGGCCTG TGACAAGACGACTG AA	6550 (F)	5'P	Template A
TPA2	TTTTTTTTTTTAGAA GGAGAAGGAAAGGG AAAGGGGCGGCCGC TGAGGCCAGTGGAA GTCAGA	7403 (R)	5'P	Template A
<u>TPB3</u>	TTTTTTTTTTCACC AACCCAAACCAACC CAAACCGAGCTCAG GCTGAGGCAGGAGA ATTG	9049 (F)	None	Template B'
TPB1	AGAAGGAGAAGGAA AGGGAAAGGGGAGC TGAGGAGGAAGAGA GG	9265 (F)	None	Template B
TPB2	AGAAGGAGAAGGAA AGGGAAAGGGGCGG CCGCTCGCCTGGTT CTGGAAGACA	8411 (R)	5'P	Template B
TPB4	AGAAGGAGAAGGAA AGGGAAAGGGGCGG CCGCTCGCCTGGTT CTGGAAGACA	9265 (R)	5'SH	Template B'

Coordinate from HUMOXRAGE gene Accession number D28769

(R) means "reverse" and (F) means "forward"

**EXAMPLE 1a: Preparation of a random DNA template flanked by a degenerate primer**

A 3.2 Kb DNA fragment was taken as a model system to demonstrate the feasibility of colony generation from random primer PCR amplification. This strategy can be applied to sequencing of DNA fragments of approximately 100 Kb in length and, by combination of fragments to whole genomes. A fragment of DNA of 3.2 Kb was generated by PCR from human genomic DNA using PCR primers; TP1 5'-pGAGGCCAGAACAGTTCAAGG and TP2 5'-pCCTGTGACAAGACGACTGAA as described in example 1. The

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3.2 Kb fragment was cut in smaller fragments by a combination of restriction enzymes (EcoR1 and HhaI yielding 4 fragments of roughly 800 bp). The cut or uncut fragment DNAs were then mixed with the degenerate primer, p252 (5'-P TTTTTTTTTTISISISISIS, where I stands for inosine (which pairs with A, T and C) and S stands for G or C) and covalently coupled to the Nucleolink wells (Nunc, Denmark). The tubes were then subjected to random solid phase PCR amplification and visualized by hybridisation with labeled DNA probes, as will be described in Example 2a.

**EXAMPLE 2: Covalent binding of DNA templates and colony primers on solid support (plastic) and colony formation with a colony primer**

Covalent binding of template and colony primer to the solid support (plastic)

A colony primer (CP2, 5'-TTTTTTTTTTAGGAAGGAGAAGGAAAGGGAAAGGG), phosphorylated at its 5' terminus (Microsynth GmbH, Switzerland), was attached onto Nucleolink plastic microtitre wells (Nunc, Denmark) in the presence of varying doses of Template A (prepared as described in example 1). 8 wells were set up in duplicate with seven 1/10 dilutions of template with CP2, starting with the highest concentration of 1 nM.

Microtitre wells, to which CP2 colony primer and the template are covalently bound were prepared as follows. In each Nucleolink well, 30  $\mu$ l of a 1  $\mu$ M solution of the colony primer with varying concentrations of template diluted down from 1 nM in 10 mM 1-methyl-imidazole (pH 7.0) (Sigma Chemicals) was added. To each well, 10  $\mu$ l of 40 mM 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide (pH 7.0) (Sigma Chemicals) in 10 mM 1-methyl-imidazole,



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was added to the solution of colony primer and template. The wells were then sealed and incubated at 50°C overnight. After the incubation, wells were rinsed twice with 200 µl of RS (0.4 N NaOH, 0.25% Tween 20),  
5 incubated 15 minutes with 200 µl RS, washed twice with 200 µl RS and twice with 200 µl TNT (100 mM TrisHCl pH 7.5, 150 mM NaCl, 0.1% Tween 20). Tubes were dried at 50°C and were stored in a sealed plastic bag at 4°C.

#### 10 Colony generation

Colony growing was initiated in each well with 20 µl of PCR mix; the four dNTPs (0.2 mM), 0.1% BSA (bovine serum albumin), 0.1% Tween 20, 8% DMSO (dimethylsulfoxide, Fluka, Switzerland), 1X PCR buffer and 0.025 units/µl of  
15 AmpliTaq DNA polymerase (Perkin Elmer; Foster City, CA). The wells were then placed in the thermocycler and growing was performed by incubating the sealed wells 5 minutes at 94°C and cycling for 50 repetitions the following conditions: 94°C for 30 seconds, 65°C for 2  
20 minutes, 72°C for 2 minutes. After completion of this program, the wells were kept at 8°C until further use. Prior to hybridization wells are filled with 50 µL TE (10 mM Tris, 1 mM EDTA, pH 7.4) heated at 94°C for 5 minutes and chilled on ice before probe addition at  
25 45°C.

#### Colonies Visualization

Probe: The probe was a DNA fragment of 1405 base pairs comprising the sequence of the template at their 3' end  
30 (nucleotide positions 8405 to 9259). The DNA probe was synthesized by PCR using two primers: p47, amplifying from base 8405, and TP2, biotinylated at 5' end, amplifying from base 9876 of the antisense strand.

35 Hybridization and detection: The probe was diluted to 1 nM in "easyhyb" (Boehringer-Mannheim, Germany) and 20 µL added to each well. The probe and the colonies were

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denatured at 94°C for 5 min and then incubated 6 hours at 50°C. Excess probes was washed at 50°C in 2xSSC with 0.1% Tween. The DNA probes were bound to avidin coated green fluorescence fluorospheres of a diameter of 0.04  $\mu$  (Molecular Probes) in TNT for 1 hour at room temperature. Excess beads were washed with TNT. Colonies were visualized by microscopy and image analysis as described in example 2a. Figure 3 shows the number of colonies observed per 20X field as a function of the concentration of template bound to the well. The lowest concentration of detectable template corresponds to  $10^{-13}$  M.

**Example 2a: Covalent binding of DNA templates and colony primers on solid support (plastic) and colony formation with a degenerate primer**

**Covalent binding of template and colony primer to the solid support (plastic)**

Microtitre wells with p252 and template DNA fragments were prepared as follows:

In each Nucleolink well, 30  $\mu$ l of a 1  $\mu$ M solution of the colony primer p252 with varying concentrations of template diluted down from 0.5 nM in 10 mM 1-methyl-imidazole (pH 7.0) (Sigma Chemicals) was added. To each well, 10  $\mu$ l of 40 mM 1-ethyl-3-(3-dimethylamino-propyl)-carbodiimide (pH 7.0) (Sigma Chemicals) in 10 mM 1-methyl-imidazole, was added to the solution of colony primer and template. The wells were then sealed and incubated at 50°C overnight. After the incubation, wells were rinsed twice with 200  $\mu$ l of RS (0.4N NaOH, 0.25% Tween 20), incubated 15 minutes with 200  $\mu$ l RS, washed twice with 200  $\mu$ l RS and twice with 200  $\mu$ l TNT (100mM TrisHCl pH7.5, 150mM NaCl, 0.1% Tween 20). Tubes were dried at 50°C and were stored in a sealed plastic bag at 4°C.

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Colony generation

DNA colony generation was performed with a modified protocol to allow random priming in each well with 20  $\mu$ l of PCR mix; the four dNTPs (0.2 mM each), 0.1% BSA, 0.1% Tween 20, 8% DMSO (dimethylsulfoxide, Fluka, Switzerland), 1X PCR buffer and 0.025 units/ $\mu$ l of AmpliTaq DNA polymerase (Perkin Elmer, Foster City, CA). The wells were then placed in the thermocycler and amplification was performed by incubating the sealed wells 5 minutes at 94°C and cycling for 50 repetitions the following conditions: 94°C for 30 seconds, 65°C for 2 minutes, 72°C for 2 minutes. After completion of this program, the wells were kept at 8°C until further use. Prior to hybridization wells are filled with 50  $\mu$ L TE (10 mM Tris 1 mM EDTA pH 7.4) heated at 94°C for 5 minutes and chilled on ice before probe addition at 45°C.

Colonies Visualization

Probes: Two DNA fragments of 546 and 1405 base pairs comprising the sequences of either extremities of the original template were amplified by PCR. The antisense strand of the probe was labeled with biotin, through the use of a 5'-biotinylated PCR primer. The base pair coordinates of the probes were 6550 to 7113 and 6734 to 9805.

Hybridization and detection: The probes were diluted to 1 nM in "easyhyb" (Boehringer-Mannheim, Germany) and 20  $\mu$ L added to each well. The probe and the colonies were denatured at 94°C for 5 min and then incubated 6 hours at 50°C. Excess probes was washed at 50°C in 2xSSC with 0.1% tween. The DNA probes were bound to avidin coated green fluorescence fluorospheres of a diameter of 40 nanometers (Molecular Probes, Portland OR) in TNT for 1 hour at room temperature. Excess beads were washed off with TNT. Fluorescence was detected using an inverted

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microscope (using the 20x/0.400 LD Achroplan objective, on the Axiovert S100TV, with an arc mercury lamp HBO 100W/2, Carl Zeiss, Oberkochen, Germany) coupled to a 768(H)x512(V)pixel-CCD camera (Princeton Instruments Inc. Trenton, NJ, USA). Exposure were 20 seconds through filter sets XF22 (Ex: 485DF22, Dichroic: 505DRLPO2 Em: 530DF30) and XF47 (Ex: 640DF20, Dichroic: 670DRLPO2 Em: 682DF22) from Omega Optical (Brattleboro VT) for FITC and Cy5 respectively. Data were analyzed using Winwiew software (Princeton Instruments Inc., Trenton NJ, USA). The numbers of colonies per field were counted in duplicate wells with image analysis software developed in house.

15 **EXAMPLE 3: Sequence discrimination in different colonies originated from varying ratios of 2 different covalently bound templates and a colony primer**

20 **Covalent binding of templates and colony primer to the solid support (plastic)**

A colony primer (CP2: 5'pTTTTTTTTTTAGGAAGGAGAAGGAAAGGGAAAGGG), phosphorylated at its 5' termini (Microsynth GmbH, Switzerland), was grafted onto Nucleolink plastic microtitre wells (Nunc, Denmark) in the presence of varying doses of the two templates A and B (prepared as described in example 1). Series of 8 wells were set up in triplicate with seven 1/10 dilutions of both templates starting with the highest concentration of 1 nM. Template dilutions are set up in opposite directions such that the highest concentration of one template coincides with the lowest of the other.

Microtitre wells, to which CP2 primer and both templates are covalently bound were prepared as follows. In each Nucleolink well, 30  $\mu$ l of a 1  $\mu$ M solution of the CP2 primer with varying concentrations of both templates

diluted down from 1 nM in 10 mM 1-methyl-imidazole (pH 7.0) (Sigma Chemicals) were added. To each well, 10  $\mu$ l of 40 mM 1-ethyl-3-(3-dimethylaminopropyl)-carbodiimide (pH 7.0) (Sigma Chemicals) in 10 mM 1-methyl-imidazole (pH 7.0), was added to the solution of colony primer and templates. The wells were then sealed and incubated at 50°C for 4 hours. After the incubation, the wells were rinsed three times with 50  $\mu$ l of RS (0.4 N NaOH, 0.25% Tween 20), incubated 15 minutes with 50  $\mu$ l RS, washed three times with 50  $\mu$ l RS and three times with 50  $\mu$ l TNT (100 mM TrisHCl pH 7.5, 150 mM NaCl, 0.1% Tween 20). Tubes were stored in TNT at 4°C.

#### Colonies generation

Colony growing was initiated in each well with 20  $\mu$ l of PCR mix; the four dNTPs (0.2 mM), 0.1% BSA, 0.1% Tween 20, 8% DMSO (dimethylsulfoxide, Fluka, Switzerland), 1X PCR buffer and 0.025 units/ $\mu$ l of AmpliTaq DNA polymerase (Perkin Elmer, Foster City, CA).

The wells were then placed in the thermocycler and growing was performed by incubating the sealed wells 5 minutes at 94°C and cycling for 50 repetitions the following conditions: 94°C for 30 seconds, 65°C for 5 minutes, 72°C for 5 minutes. After completion of this program, the wells were kept at 8°C until further use. Prior to hybridization wells are filled with 50  $\mu$ l TE (10 mM Tris, 1 mM EDTA, pH 7.4) heated at 94°C for 5 minutes and chilled on ice before probe addition at 50°C.

#### Colonies visualization

Probe: Two DNA fragments of 546 and 1405 base pairs corresponding to the sequences of the 3.2 Kb DNA fragment at the 5'- and 3'- termini were amplified by PCR using one biotinylated primer (see example 2). The two probes were denatured by heating at 94°C for 5 minutes, quick-chilled into 1 M NaCl, 10 mM Tris pH 7.4

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and allowed to bind to Streptavidin coated  
fluorospheres of diameter 0.04  $\mu\text{m}$  labeled with different  
colors for 2 hours at 4°C. The probes bound to bead  
were diluted 20 fold in "easyhyb" solution prewarmed to  
5 50°C. 20  $\mu\text{l}$  of probes was added to each well containing  
denatured colonies.

Hybridization and detection: The hybridization was  
carried out at 50°C for 5 hours. Excess probes was  
10 washed at 50°C in 2xSSC with 0.1% SDS. Colonies were  
visualized by microscopy with a 20X objective, 20 second  
exposure and image analysis as described in example 2a.  
Figure 4a shows the images of colonies made from both  
templates and negative controls. Figure 4b shows the  
15 colonies from both templates at the same position in the  
same well visualised with two different colours and  
negative controls. Figure 4c shows the coordinates of  
both colony types in a sub-section of a microscopy  
field. Figure 4c demonstrates that colonies from  
20 different templates do not coincide.

**EXAMPLE 4: Covalent binding of DNA templates and  
oligonucleotides on glass solid supports**

25 Aminosilane-derivatized glass slides have been used as  
solid support to covalently attach thiol-modified  
oligonucleotides probes using hetero-bifunctional  
cross-linkers. The reagents selected have thiol-  
reactive (maleimide) and amino-reactive groups  
30 (succinimidyl ester). Oligonucleotide attachment yields  
and stability of the immobilized molecules will be  
strongly dependent on the cross-linker stability towards  
the conditions of the different treatments performed.  
The reaction schemes of the DNA templates or  
35 oligonucleotides attachment on glass are described in  
Figure 5.

The storage stability of glass slides prepared with the cross-linkers s-MBS and s-SIAB and its thermal stability have been evaluated. An important factor affecting the extent of hybridization of immobilized oligonucleotide probes is the density of attached probes (Beattie et al., 1995; Joss et al., 1997). We have studied this effect by varying the concentration of oligonucleotides during the immobilization and assaying the density of attached oligos by hybridization.

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Materials and methods

Microscope glass slides acid pre-treatment - Microscope glass slides (Knittel, Merck ABS) were soaked in basic Helmanex solution during 1 hour (HelmanexII<sup>®</sup> 0.25%, 1N NaOH). The slides were rinsed with water, immersed overnight in 1N HCl, rinsed again in water and treated 1 hour in sulfuric acid solution (H<sub>2</sub>SO<sub>4</sub>/H<sub>2</sub>O, 1/1, v/v, with a small amount of fresh ammonium persulfate added). The slides were rinsed in water, in ethanol and finally with pure acetone. Glass slides are dried and stored under vacuum for further use.

Silanization of the surface - The pre-treated slides were immersed into a 5% solution of ATS (aminopropyltriethoxysilane, Aldrich) in acetone. Silanization was carried out at room temperature for 2 hours. After three washes in acetone (5 min/wash) the slides were rinsed once with ethanol, dried and stored under vacuum.

Cross-linker attachment - Cross-linkers, s-MBS and s-SIAB (respectively sulfo m-maleimidobenzoyl-N-hydroxysuccinimide ester, sulfo N-succinimidyl[4-iodoacethyl] aminobenzoate, Pierce, Rockford IL), are prepared as 20 mM solutions in PBS (phosphate-buffered saline, 0.1 M NaH<sub>2</sub>PO<sub>4</sub>, pH 7.2, 0.15 M NaCl). Silanized glass slides, on which 80 µL of cross-linker solution was applied, were covered by a cleaned micro cover glass and reacted for 5 hours at 20°C. The glass slides were rinsed in PBS, briefly immersed in water and rinsed in ethanol. Slides were then dried and stored under vacuum in the dark for further use.

Oligonucleotide Attachment - Oligonucleotides were synthesized with 5' modifications of a thiol (CP3 and CP4 Eurogentec, Brussels) or a phosphate moiety (CP1 and



CP2, Eurogentec, Brussels) using standard phosphoramidite chemistry.

5 - 5'- thiol oligonucleotide primers (CP3 and CP4) were prepared as 100  $\mu$ M solutions in a saline phosphate buffer (NaPi : 0.1M  $\text{NaH}_2\text{PO}_4$  pH: 6.6, 0.15M NaCl) and drops of 1  $\mu$ l applied on the functionalized glass slide (functionalized with cross-linker) for 5 hours at room temperature. Glass slides were kept under a saturated wet atmosphere to avoid evaporation. Glass slides were washed on a shaker in NaPi buffer. For thermal stability study glass slides were immersed 2 times in Tris buffer (10 mM, pH 8) for 5 min at 100°C and directly immersed in 5xSSC (0.75 M NaCl, 0.075 M NaCitrate pH 7) at 4°C for 5 min. Slides were stored in 5XSSC at 4°C for further use.

20 - 5'- phosphate oligonucleotides primers (CP1 and CP2) were applied (1  $\mu$ l drops) for 5 hours at room temperature to amino-derivatized glass as 1  $\mu$ M solution in 10 mM 1-methyl-imidazole (pH 7.0) (Sigma Chemicals) containing 40 mM of 1-ethyl-3-(3-dimethylamino-propyl) carbodiimide (EDC, Pierce, Rockford IL). The slides were washed 2 times at 100°C in Tris buffer (10 mM, pH 8) and directly immersed in 5XSSC at 4°C for 5 min. Slides were stored in 5XSSC at 4°C for further use.

#### Oligonucleotide and DNA template attachment

30 The 5'-thiol oligonucleotide primers (CP3 and CP4), and 5'-thiol template B' were mixed in a saline phosphate buffer (NaPi : 0.1M  $\text{NaH}_2\text{PO}_4$  pH: 6.6, 0.15M NaCl). Concentration of DNA template varied from 0.001 to 1  $\mu$ M and from 0.1 to 100  $\mu$ M for primers but were optimized at 1  $\mu$ M and 100  $\mu$ M respectively for template and primers. 35 The procedure described above for CP3 and CP4 attachment on functionalized glass surface was then followed.

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The 5'-phosphate oligonucleotide primers (CP1 and CP2), and 5'-phosphate template B' were mixed in a 10 mM 1-methyl-imidazole (pH 7.0) (Sigma Chemicals) solution containing 40 mM of 1-ethyl-3-(3-dimethylamino-propyl) carbodiimide (EDC, Pierce, Rockford IL). Concentration of DNA template varied from 0.001 to 10 nM and from 0.1 to 1  $\mu$ M for primers, but were eventually optimized at 10 nM and 1  $\mu$ M respectively for template and primers. The procedure described above for CP1 and CP2 attachment on amino-derivatized glass surface was followed.

Hybridization with fluorescent probes- Oligonucleotide probes, fluorescently labeled with Cy5 or FITC at their 5' end, were synthesized by Eurogentec (Brussels). To prevent non-specific hybridization, glass slides were incubated with a blocking solution (5xSSC, Tween 0.1%, BSA 0.1%) for 1 hour and washed on a shaker in 5xSSC (2 times, 5 min). Oligonucleotide probes were diluted at 0.5  $\mu$ M in 5xSSC, Tween 0.1% and applied on the glass surface for 2 hours at room temperature. Glass slides were rinsed on a shaker at 37°C, once in 5xSSC for 5 min, and twice in 2xSSC containing 0.1% SDS for 5 minutes.

Hybridization with radiolabeled probes- Radiolabeled oligonucleotides complementary to covalently linked oligonucleotides were used as hybridization probes in order to quantify hybridization yields. Oligonucleotides were enzymatically labeled at their 5' end terminus with [ $\gamma$ -<sup>32</sup>P]dATP (Amersham, UK) using the bacteriophage T4 polynucleotide kinase (New England Biolabs, Beverly, MA). Excess [ $\gamma$ -<sup>32</sup>P]dATP was removed with a Chroma Spin column TE-10 (Clontech, Palo Alto CA). Radiolabeled oligonucleotides (0.5  $\mu$ M in 5xSSC, Tween 0.1%) were then applied onto derivatized slides for 2 hours at room temperature. Glass slides were rinsed on a shaker once in 5xSSC for 5 min and twice in

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2xSSC, SDS 0.1% for 5 minutes at 37°C. After hybridization the specific activity was determined by scintillation counting.

5 Microscope observation - Glass slides were overlaid with 5xSSC solution and a micro cover glass. Fluorescence was detected using an inverted microscope model Axiovert S100TV, with an arc mercury lamp HBO 100W/2 (Carl Zeiss, Oberkochen, Germany) coupled to a CCD camera equipped  
10 with a CCD array Kodak with a format 768(H)x512(V) pixels; 6.91x4.6 mm overall, pixel size 9x9  $\mu\text{m}^2$  (Princeton Instruments Inc. Trenton, NJ, USA).  
Exposition times were between 1 and 50 seconds using the objective LD Achroplan 20x/0.400 (Carl Zeiss,  
15 Oberkochen, Germany) and filter sets XF22 (Ex: 485DF22, Dichroic: 505DRLPO2 Em: 530DF30) and XF47 (Ex: 640DF20, Dichroic: 670DRLPO2 Em: 682DF22) from Omega Optical (Brattleboro VT) for FITC and Cy5 fluorophores respectively. Data were analyzed using Winview software  
20 (Princeton Instruments Inc., Trenton NJ, USA).

### Results

#### Evaluation of storage stability attachment and thermal stability

25 We evaluated the storage stability of glass plates prepared with s-MBS and s-SIAB. Since these reagents are sensitive towards hydrolysis, oligonucleotide attachment yields will be dependent on their stability.  
30 Amino-derivatized glass plates were functionalized with freshly prepared crosslinking reagents, s-MBS and s-SIAB. The functionalized slides were stored after cross-linking attachment for 10 days in a dessicator under vacuum in the dark at room temperature. After  
35 this time, stored slides (t=10days) and freshly reacted slides with the cross-linker reagents (t = 0) were assayed. The results obtained after reaction of a

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thiol-oligonucleotide and hybridization of a complementary fluorescent probe were compared for both chemistries at  $t=0$  and time= 10 days.

5 Once immobilized, the s-SIAB-functionalized slides are fully stable after 10 days storage as evidenced by the same yields of hybridization obtained at  $t=0$  and  $t=10$  days. In contrast, coupled s-MBS to glass was found to be less stable with a 30% loss in yield of  
10 oligonucleotide attachment and hybridization after 10 days storage. In conclusion, s-SIAB functionalized slides are preferred as they can be prepared in advance and stored dry under vacuum in the dark for at least ten days without any reduction in probe attachment yield.

15 To evaluate the thermal stability of oligonucleotides attached to glass, the slides were subjected to two 5-min treatments at  $100^{\circ}\text{C}$  in 10 mM Tris-HCl, pH 8. The remaining oligonucleotide still immobilized after washes  
20 was assayed by hybridization with a fluorescently labeled complementary oligonucleotide. About 14% of the molecules attached are released for s-SIAB glass slides and 17% for S-MBS glass slides after the first 5 minutes wash, but no further release was detected in the second  
25 wash for both chemistries (TABLE 1A). These results are encouraging compared to those obtained by Chrissey et al. 1996, where a release of more than 62% of oligonucleotides attached on fused silica slides via the crosslinker SMPB (Succinimidyl 4-[p-maleimidophenyl]  
30 butyrate) was measured after a 10 min treatment in PBS at  $80^{\circ}\text{C}$ .

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TABLE 1A

	Hybridisation results (arbitrary units, normalised to 100%)		
	Freshly attached	After 5 min wash at 100°C	After 2x5 min wash at 100°C
5 s-MBS	80±6	69±4	73±4
s-SIAB	100±9	84±8	87±3

Table 1A : Thermal stability study

10 Oligonucleotides were attached to glass slides  
functionalized with either s-MBS or s-SIAB. Attached  
oligonucleotides were assayed by hybridization with a  
fluorescently-labeled complementary oligonucleotide.  
15 Fluorescence signal is normalized at 100 for the highest  
signal obtained. Averaged values of triplicate analyses  
are reported.

#### Hybridization as a function of probe attachment

We have studied the extent of hybridization of  
20 covalently bound oligonucleotide probes as a function of  
the surface coverage of attached oligonucleotides using  
the s-MBS, s-SIAB cross-linkers and EDC-mediated  
reactions. The concentration of oligonucleotides  
applied for immobilization was 1  $\mu\text{M}$  for EDC and has been  
25 varied between 1 and 800  $\mu\text{M}$  for crosslinkers, the  
surface density was assayed by hybridization with  
 $^{32}\text{P}$ -labeled probes. The optimal concentration for primer  
attachment using the heterobifunctional cross-linkers  
was 500  $\mu\text{M}$  which equates with a surface density of  
30 hybridized molecules of 60 fmol/mm<sup>2</sup> for s-MBS and 270  
fmol/mm<sup>2</sup> for s-SIAB. Similar coverage density as s-MBS  
was obtained using EDC/Imidazole-mediated attachment of  
5'-phosphate oligonucleotides to aminosilanised glass.  
However, only 1  $\mu\text{M}$  solutions of oligonucleotide were  
35 necessary to attain the same attachment yield, this

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represents a 500-fold excess of oligonucleotide to be attached for the s-MBS chemistry compared to the EDC/imidazole coupling strategy (Table 1B).

5

TABLE 1B

10

Conc. of oligonucleotide used for attachment ( $\mu\text{M}$ )	Oligo hybridized ( $\text{fmol}/\text{mm}^2$ )		
	s-MBS	s-SIAB	EDC
1	NT	NT	50
100	10	100	NT
500	60	270	NT

15

Table 1B : Hybridization as a function of probe attachment

20

Oligonucleotides were attached to glass slides functionalized with either s-MBS or s-SIAB or via mediated activating reagent EDC. Attached oligonucleotides were assayed by hybridization with a radiolabeled complementary oligonucleotide. The specific activity and therefore the density of hybridized molecules were determined by scintillation liquid. NT : not tested

25

30

35

The  $60 \text{ fmol}/\text{cm}^2$  surface density corresponds to an average molecular spacing between bound oligonucleotides of 8 nm. According to our results, a coverage density of  $30 \text{ fmol}/\text{mm}^2$  (spacing of 20 nm) is sufficient to obtain DNA colonies. This yield can be obtained by immobilizing primers at  $100 \mu\text{M}$  using the heterobifunctional cross-linker s-SIAB or  $1 \mu\text{M}$  probes using the EDC-mediated approach. The hybridization densities we have obtained are in the range of the highest densities obtained on glass slides of other grafting protocols previously

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reported (Guo et al-1994, Joss et al-1997, Beattie et al-1995).

5 DNA colony generation on glass: colonies formation is dependent on the length, the concentration of template and the concentration of primers

10 Theoretically, DNA colony formation requires an appropriate density of primers attached on the surface corresponding to an appropriate length of the DNA template. For optimal DNA colony generation, it is important to define the range of densities of the bound primers and templates, as well as the stoichiometric ratio between template and primer.

15

#### MATERIALS AND METHODS

##### Glass slide preparation

20 Glass slides were derivatized and functionalized as described above (Materials and methods). DNA colony primers were CP1 and CP2. The colony templates were prepared as described in example 1 for template B', but using primers TPB3 and TPB2. The modified colony primers and templates were applied on glass surface at  
25 varying concentrations of both colony primer and colony template.

##### Generation of colonies

30 Glass slides stored in 5XSSC were washed in micro-filtered water to removed salts. Colony growing was initiated on glass surface with a PCR mix; the four dNTP (0.2 mM), 0.1% BSA, 0.1% Tween 20, 1X PCR buffer and 0.05 U/ $\mu$ l of AmpliTaq DNA polymerase (Perkin Elmer, Foster City, CA). The PCR mix is placed in a frame seal chamber (MJ Research, Watertown, MA). The slides were  
35 placed in the thermocycler (The DNA Engine, MJ Research Watertown, MA) and thermocycling was as carried out as follows : step 1 at 94°C for 1 min, step 2 at 65°C for 3

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minutes, step 3 at 74°C for 6 min and this program is repeated 50 times. After completion of this program the slides are kept at 6°C until further use.

5     Digestion of double strand DNA colonies

The glass surface containing the DNA was cut with a restriction nuclease by overlaying with the restriction enzyme in a digestion 1X buffer. The reaction was run twice for 1h30 at 37°C. Double strand DNA colonies were  
10    denatured by immersing slides 2 times in tris buffer (10 mM, pH 8) at 100°C for 5 min, followed by a rinse in 5XSSC at 4°C. Slides were stored in 5XSSC for further use.

15    Hybridization of one strand DNA colonies

To prevent non-specific hybridization, glass slides were incubated with a blocking solution (5XSSC, 0.1% Tween, 0.1% BSA) for 1 hour and the slides rinsed in 5XSSC (2  
20    times, 5min). Fluorescently Cy5 5' end labeled oligonucleotide (Eurogentec, Brussels) were diluted at 0.5 µM in SSC 5X, Tween 0.1% and applied to the glass surface for at least 2 hours. Glass slides are rinsed on a shaker once in SSC 5X for 5 min and twice in SSC 5X, SDS 0.1% 5 minutes at 37°C.

25

The glass slides were visualized as previously described.

We have previously observed that the extent of  
30    hybridization is a function of the density of oligonucleotide attachment. A similar study with bound DNA templates has shown that colony formation is also a function of the concentration of template attached on glass slide. Depending on the chemistry used for  
35    oligonucleotide and template attachment, the optimal concentration of template is 1 µM for the bi-functional crosslinkers, s-MBS (Figure 6B), and 1 nM for EDC



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carbodiimide (Figure 6A). Interestingly, a higher concentration of template does not enhance number of colonies for EDC chemistry and a plateau corresponding to a maximal number of colonies seems to be reached.

5

We have studied colony formation (number) as a function of the concentration of primers, concentration of the DNA template applied on the surface and the length of the DNA template.

10

We have also evaluated the number of copy of template in each colony. The quantification was based on fluorescence detection with Cy5-, Cy3- or fluorescein-labeled fluorophores supplemented with an anti-bleaching reagent (Prolong, Molecular Probes, Portland OR). The calibration has been done by hybridization experiments on primers attached to the surface as the exact density corresponding has been determined by radioactivity

20

#### **EXAMPLE 5: colony in-situ DNA sequencing**

Glass slides (5mm diameter Verrerie de Carouge, Switzerland) were first placed into a Helmanex 0.2% (in H<sub>2</sub>O), NaOH 1N bath for 1 h at room temperature, rinsed with distilled water, rinsed in pure Hexane, rinsed again two times with distilled water and treated with HCl 1M over night at room temperature. Then, they were rinsed two times in distilled water, and treated with H<sub>2</sub>SO<sub>4</sub> (50%) + K<sub>2</sub>S<sub>2</sub>O<sub>8</sub> for 1 h at room temperature. They were rinsed in distilled water, then two times in Ethanol. Glass slides were derivatized with ATS (as described in example 4).

35

Colony primers CP1

(5'-pTTTTTTTTTTCACCAACCCAAACCAACCCAAACC) and CP2

(5'-pTTTTTTTTTTAGGAAGGAGAAGGAAAGGGAAAGGG) which are

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5'phosphorylated (Microsynth GmbH, Switzerland) and DNA template B (prepared as described in example 1) were 5' covalently attached onto 5 mm diameter glass slides (Verrerie de Carouge, Switzerland) to a final

5 concentrations of 1  $\mu$ M and 10 nM respectively, as follows: 2 nmoles of each primer were added to 0.2 nmoles of template in 1 ml of solution A (41  $\mu$ l of Methylimidazole (Sigma, #M-8878) in 50 ml H<sub>2</sub>O, pH adjusted to 7 with HCl) and then mixed 1:1 with solution

10 D (0.2 mM EDC in 10 ml of solution A). On both glass slides sides, 3.5  $\mu$ l of the mixture were loaded, and incubated over night at room temperature. The glass slides were then briefly rinsed with 5xSSC buffer and placed at 100°C in 10mM Tris buffer pH 8.0 for 2x5'.

15 Non specific sites on glass were blocked with Bovine Serum Albumin (BSA, Boehringer Mannheim GmbH, Germany, #238040) at 1 mg/ml in 5xSSC buffer for 1 h at room temperature and then rinsed with distilled water.

20 Glass slides were then individually placed onto a Microamp™ reaction tube (Perkin Elmer) containing 170  $\mu$ l of PCR mix, and DNA colonies were then generated using Taq polymerase (AmpliTaq, PE-Applied Biosystems Inc.,

25 Foster City CA) with 50 cycles (94C/60", 60C/3', 72C/6') in a MTC 200 thermo-cycler (MJ Research, Watertown, MA). Each slide was digested twice using 1.3 units of Pvu II (Stratagene) in NEB 2 buffer (New England Biolabs) for 45 minutes at 37°C. After digestion, the tubes were

30 placed at 100°C in 10mM Tris buffer pH 8.0 for 2x5', then blocked with filtered (Millex GV4, Millipore) 1 mg/ml BSA in 2xSSC buffer for 30' at room temperature and rinsed first in 2xSSC 0.1% SDS buffer then in 5xSSC buffer. Each slide was incubated over night at room

35 temperature with a 5xSSC/0.1% Tween 20 buffer containing 1  $\mu$ M of the sequencing primer p181 (CGACAGCCGGAAGGAAGAGGGAGC) overnight at room

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temperature. Controls without primer were kept in 5xSSC 0.1% Tween 20 buffer. Glass slides were washed 2 times in 5xSSC 0.1% SDS at 37C for 5' and rinsed in 5xSSC.

Primer p181 can hybridize to template B' and the sequence following p181 is CAGCT.... In order to facilitate focusing, green fluorescent beads have been adsorbed to the bottom of the well by incubating each well with 20  $\mu$ l of a 1/2000 dilution of 200 nm yellow/green fluorescent, streptavidin coated FluoSpheres<sup>(R)</sup> (Molecular Probes, Eugene, OR) in 5X SSC for 20" at room temperature.

After hybridization with the primer, 2  $\mu$ l of a solution containing 0.1% BSA, 6 mM dithiotreitol (Sigma Chemicals), 5  $\mu$ M Cy5<sup>TM</sup>-dCTP or 5  $\mu$ M Cy5<sup>TM</sup>-dUTP (Amersham, UK) and 1X Sequenase reaction buffer is added to each slide. The addition of the Cy5<sup>TM</sup>-nucleotide is initiated with the addition of 1.3 unit of T7 Sequenase<sup>TM</sup> DNA polymerase (Amersham, UK) for two minutes at room temperature. The wells are washed 2 times in 5X SSC/0.1% SDS bath for 15' and rinsed with 5xSSC buffer.

The samples are observed using an inverted microscope (Axiovert S100TV, Carl Zeiss AG, Oberkochen, Germany) equipped with a Micromax 512x768 CCD camera and Winview software (Princeton Instruments, Trenton, NJ). For focusing, a 20X objective and a XF 22 filter set (Omega Optical, Brattleboro, VT) were used, and for observing Cy5<sup>TM</sup> incorporation on the samples, a 20X objective and a XF47 filter set (Omega Optical) with a 50 second exposure using a 2x2 pixel binning. The yellow/green FluoSpheres<sup>(R)</sup> (approximately 100/field of view) do not give a detectable signal using the XF47 filter set and 50 second exposure (data not shown). The photos are generated by the program, Winview (Princeton Instruments).

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Figure 7A shows the result after incubation with Cy5<sup>TM</sup>-dCTP on a sample that has not been incubated with primer p181. One will appreciate only 5 blurry spots can be observed, indicating that that no dramatic spurious effect is taking place (such as Cy5<sup>TM</sup>-dCTP aggregate precipitation, adsorption or simply non specific incorporation to the DNA in the colonies or on the surface). Figure 7B shows the result after incubation with Cy5<sup>TM</sup>-dUTP on a sample that has been incubated with primer p181. One will appreciate that no fluorescent spot can be observed, indicating that the incorporation of a fluorescent base cannot take place in detectable amounts when the nucleotide proposed for incorporation does not correspond to the sequence of the template following the hybridized primer. Figure 7C shows the result after incubation with Cy5<sup>TM</sup>-dCTP on a sample that has been incubated with primer p181. One will appreciate that many fluorescent spots can be observed, indicating that the incorporation of a fluorescent base can indeed take place in detectable amounts when the nucleotide proposed for incorporation does correspond to the sequence of the template following the hybridized primer. To summarize, we showed that it is possible to incorporate on a sequence specific manner fluorescent nucleotides into the DNA contained in the colonies and to monitor this incorporation with the apparatus and method described. However, this is only a example. One will appreciate that if desired the incorporation of a fluorescent base could be repeated several times. As this is done on a sequence specific manner, it is thus possible to deduce part of the sequence of the DNA contained in the colonies.

#### 35 **EXAMPLE 6: 5' mRNA sequence tag analysis**

The most accurate way to monitor gene expression in cells or tissues is to reduce the number of steps

between the collection of the sample and the scoring of the mRNA. New methods for rapidly isolating mRNA are commercially available. The most efficient methods involve the rapid isolation of the sample and immediate  
5 disruption of cells into a solution of guanidinium hydrochloride, which completely disrupts proteins and inactivates RNases. This is followed by the purification of the mRNA from the supernatant of the disrupted cells by oligo-dT affinity chromatography.  
10 Finally, 5'-capped mRNA can be specifically targeted and transformed into cDNA using a simple strategy (SMART cDNA synthesis, Clontech, Palo Alto).

This method allows the synthesis of cDNA copies of only  
15 the translationally active, 5'-capped mRNA. By combining the above rapid methods of mRNA isolation and cDNA preparation with the grafted-template method of DNA colony generation described in the present application, we have an approach for the high-throughput  
20 identification of a large number of 5' mRNA sequence tags. The advantage of our invention is the possibility to sequence a large number of cDNA by directly grafting the product of the cDNA synthesis reaction, amplifying the cDNA into thousands of copies, followed by the  
25 simultaneous in situ sequencing of the cDNAs.

#### Materials and methods:

Synthetic oligonucleotides and plasmids -

Oligonucleotides were synthesized with 5'-phosphates by  
30 Eurogentec or Microsynth. Plasmids containing partial coding and 3'-untranslated sequences of the murine potassium channel gene, mSlo, following the T3 RNA polymerase promoter were generated by standard methods.

35 mRNA synthesis - mSlo plasmids were linearized at a single SalI or SacI restriction nuclease site following the poly A+ sequence in the plasmid. After treatment of

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the cut plasmid with proteinase K, linear plasmid DNA was extracted once with phenol/CH<sub>3</sub>Cl/isoamyl alcohol and precipitated with ethanol. The DNA precipitate was re-dissolved in H<sub>2</sub>O at a concentration of 10 µg/µl.

5 Synthetic mRNA capped with the 5'-methylguanosine were synthesized by the mMessage mMachine in vitro mRNA synthesis kit as per manufacturer instructions (Ambion, Austin TX). Synthetic mRNA was stored at 80°C.

10 Enzymes - Restriction enzymes were obtained from New England Biolabs (Beverly, MA).

cDNA synthesis - Synthetic mRNA was mixed with mouse liver poly A+ mRNA at different molar ratios (1:1, 1:10, 15 1:100) and cDNA synthesis on the mixture of synthetic and mouse liver mRNA was performed using the "SMART PCR cDNA synthesis kit" (Clontech, Palo Alto CA) with some minor modifications. In a cDNA reaction, approximately 1 µg of the mRNA mixture was mixed with the -primer CP5, 20 having at the 5' -end the sequence of CPβ, (5'p-AGAAGGAGAAGGAAAGGGAAAGGGTTTTTTTTTTTTTTTTTNN). This primer has been used to make the 1st strand cDNA synthesis. For the 2nd strand synthesis, the "SMART" technique has been used. The basis of the SMART 25 synthesis is the property of the Moloney murine viral reverse transcriptase to add three to five deoxycytosine residues at the 3'-termini of first strand cDNA, when the mRNA contains a 5'-methylguanosine-cap (SMART user manual, Clontech, Palo Alto CA). A CP6 primer, which 30 contains the sequence of CPβ plus AAAGGGGG at the 3' end, (5'p-AGAAGGAGAAGGAAAGGGAAAGGGGG) has been used for the 2nd strand cDNA synthesis. Buffer and SUPERScript™ II RNase H- reverse transcriptase from Moloney murine leukemia virus (Life Technologies, Ltd.) were used as 35 described in the instructions and the reaction was carried out at 42°C for 1 hr. The cDNA was assayed by PCR using the primer p251, which contains a fragment of

the CP $\beta$  sequence, (5'-GAGAAGGAAAGGGAAAGG) with Taq DNA polymerase (Platinum Taq, Life Technologies, Ltd.).

Preparation of DNA colonies - The 5'p-cDNA was mixed with different concentrations of the solid phase colony primer, CP2 (5'p-TTTTTTTTTTTAGAAAGGAGAAGGAAAGGGAAAGGG) and chemically bound to Nucleolink PCR tubes (NUNC) following manufacturer instructions. DNA colonies were then generated using Taq polymerase (AmpliTaq Gold, PE-Applied Biosystems Inc., Foster City CA) with 30 cycles (94C/30", 65C/1', 72C/ 1.5') in a MTC 200 thermo-cycler (MJ Research, Watertown, MA).

DNA probes and hybridization - <sup>32</sup>P-biotinylated and <sup>32</sup>P-radiolabelled DNA probes specific for the mSlo DNA sequence were synthesized with a 5'-biotinylated primer and a normal downstream primer by PCR on the template (mSlo plasmid DNA). The probe incorporated  $\alpha$ [<sup>32</sup>P]-dCTP (Amersham, Amersham UK) at a ratio of 300:1 ( $\alpha$ [<sup>32</sup>P]-dCTP to dCTP) in the PCR reaction, with a final concentration of the four deoxynucleoside triphosphates of 50  $\mu$ M. The resulting biotinylated and radiolabelled DNA probe was desalted over a Chromaspin-1000 column (Clontech, Palo Alto CA). The DNA probes were hybridized to the samples in "easyhyb" buffer (Boehringer-Mannheim, Germany), using the following temperature scheme (in the MTC200 thermocycler): 94°C for 5 minutes, followed by 68 steps of 0.5°C decrease in temperature every 30 seconds (in other words, the temperature is decreased down to 60°C in 34 minutes), using sealed wells. The samples are then washed 3 times with 200  $\mu$ l of TNT at room temperature. The wells are then incubated for 30 minutes with 50  $\mu$ l TNT containing 0.1 mg/ml BSA (New England Biolabs, Beverly, MA). Then the wells are incubated 5 minutes with 15  $\mu$ l of solution of red fluorescent, streptavidin-coated, 40 nanometer microspheres (Molecular Probes, Portland, OR). The

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solution of microspheres is made of 2  $\mu$ l of the stock solution of microspheres, which have been sonicated for 5 minutes in a 50 W ultra-sound water-bath (Elgasonic, Bienne, Switzerland), diluted in 1 ml of TNT solution containing 0.1 mg/ml BSA and filtered with Millex GV4 0.22  $\mu$ m pore size filter (Millipore, Bedford, MA). DNA colony visualization - The stained samples are observed using an inverted Axiovert 10 microscope using a 20X objective (Carl Zeiss AG, Oberkochen, Germany) equipped with a Micromax 512x768 CCD camera (Princeton instruments, Trenton, NJ), using a PB546/FT580/LP590 filter set, and 10 seconds of light collection. The files are converted to TIFF format and processed in the suitable software (PhotoPaint, Corel Corp. Ltd, Dublin, Ireland). The processing consisted in inversion and linear contrast enhancement, in order to provide a picture suitable for black and white printout on a laser printer.

## 20 RESULTS

Synthetic mRNA and cDNA synthesis - Following cDNA synthesis, the cDNA was checked in a PCR using the p251 primer (generated at each end of the first strand cDNA) for the correct lengths of products as assayed by agarose gel electrophoresis. The synthetic mSlo mRNA was diluted into the liver mRNA, which was evidenced by the decreasing intensity of the mSlo-specific band and the increase of a non-specific smear of liver cDNA.

Detection and quantification of DNA colonies - DNA colonies were assayed using fluorescent imaging CCD microscopy or scintillation counting. The numbers of fluorescently detectable colonies increased as a function of the amount of grafted template, as shown in Figure 6. This increase was mirrored by the amount of  $^{32}$ P-radiolabel detected.



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With radiolabelled probes it is possible to detect mRNA copies at about 1:100. But with fluorescent microscopic CCD imaging technology, one can detect mRNA to a dilution of 1:10000.

5

## Claims:

1. A method for amplification of at least one nucleic acid comprising the following steps:-
- 5 (1) forming at least one nucleic acid template comprising the nucleic acid(s) to be amplified, wherein said nucleic acid(s) contains at the 5' end an oligonucleotide sequence Y and at the 3' end an oligonucleotide sequence Z and, in addition, the nucleic acid(s) carry at the 5' end a means for attaching the
- 10 nucleic acid(s) to a solid support;
- (2) mixing said nucleic acid template(s) with one or more colony primers X, which can hybridize to the oligonucleotide sequence Z and carries at the 5' end a
- 15 means for attaching the colony primers to a solid support, in the presence of a solid support so that the 5' ends of both the nucleic acid template and the colony primers bind to the solid support;
- (3) performing one or more nucleic acid amplification reactions on the bound template(s), so that
- 20 nucleic acid colonies are generated.
2. A method as claimed in claim 1 comprising the further step of performing at least one step of sequence
- 25 determination of at least one of the nucleic acid colonies generated.
3. A solid support, to which there is attached at least one nucleic acid template, wherein said nucleic acid templates contain at the 5' end an oligonucleotide
- 30 sequence Y and at the 3' end an oligonucleotide sequence Z, and a plurality of colony primers X.
4. Use of a method of claims 1 or 2, or the solid
- 35 support of claim 3 for nucleic acid amplification or sequencing.

- 75 -

5. A kit for use in nucleic acid amplification or sequencing comprising a plurality of nucleic acid templates and colony primers as defined in claim 1 bound to a solid support.

5

Abstract

Methods of nucleic acid amplification and sequencing

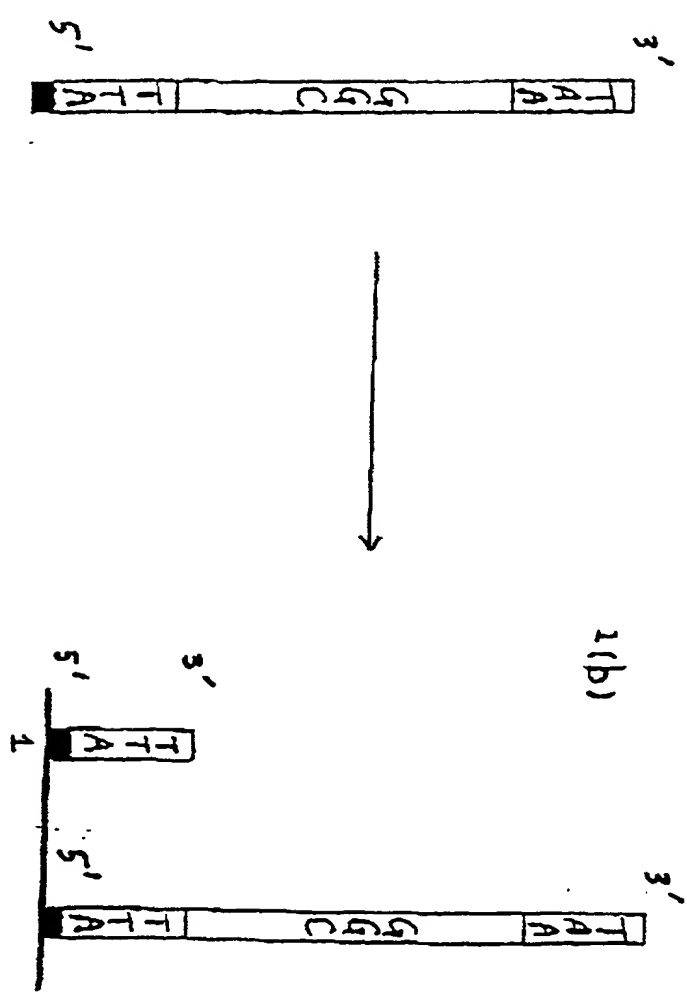
5 Methods for amplification and sequencing of at least one nucleic acid comprising the following steps:-

(1) forming at least one nucleic acid template comprising the nucleic acid(s) to be amplified or sequenced, wherein said nucleic acid(s) contains at the  
10 5' end an oligonucleotide sequence Y and at the 3' end an oligonucleotide sequence Z and, in addition, the nucleic acid(s) carry at the 5' end a means for attaching the nucleic acid(s) to a solid support;

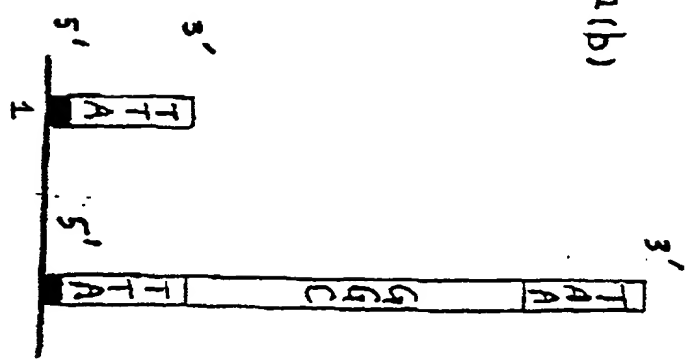
(2) mixing said nucleic acid template(s) with one  
15 or more colony primers X, which can hybridize to the oligonucleotide sequence Z and carries at the 5' end a means for attaching the colony primers to a solid support, in the presence of a solid support so that the 5' ends of both the nucleic acid template and the colony  
20 primers bind to the solid support;

(3) performing one or more nucleic acid amplification reactions on the bound template(s), so that nucleic acid colonies are generated and optionally, performing at least one step of sequence determination  
25 of one or more of the nucleic acid colonies generated. Solid supports, kits and apparatus for use in these methods.

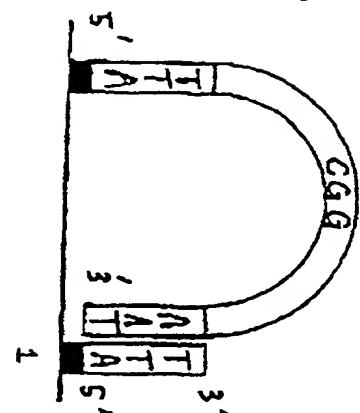
(a)



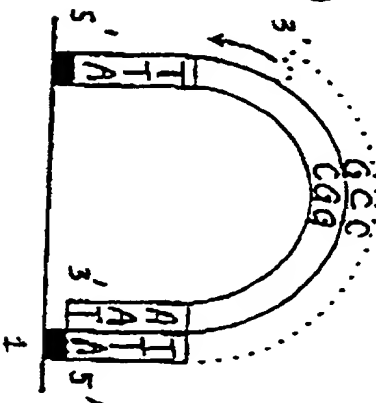
1(b)



1(c)



1(d)



1(e)

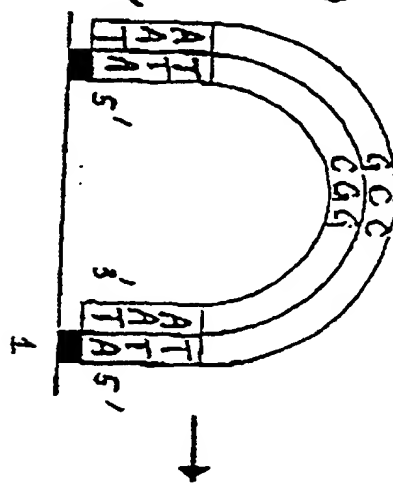
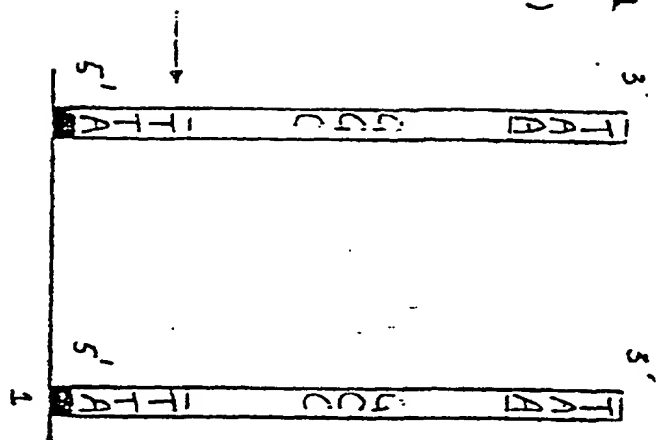
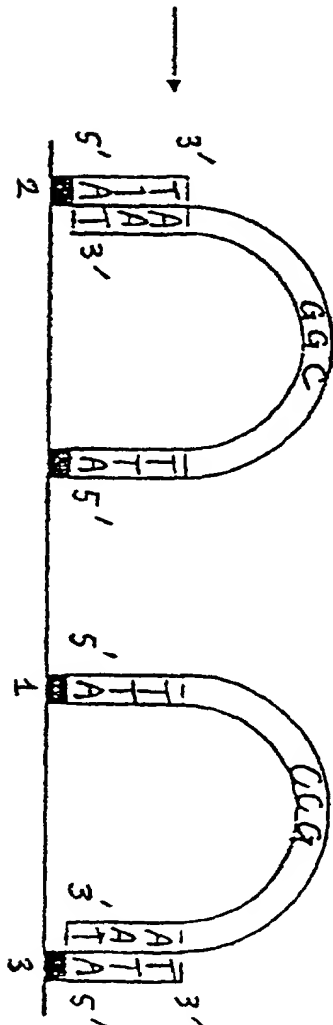


FIGURE 1

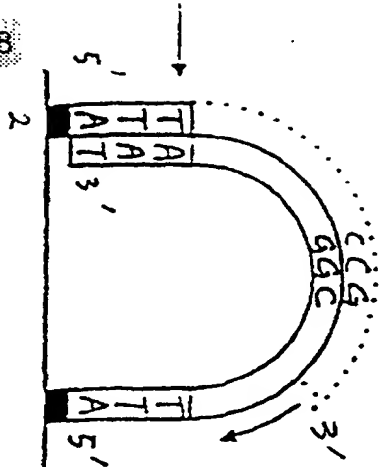
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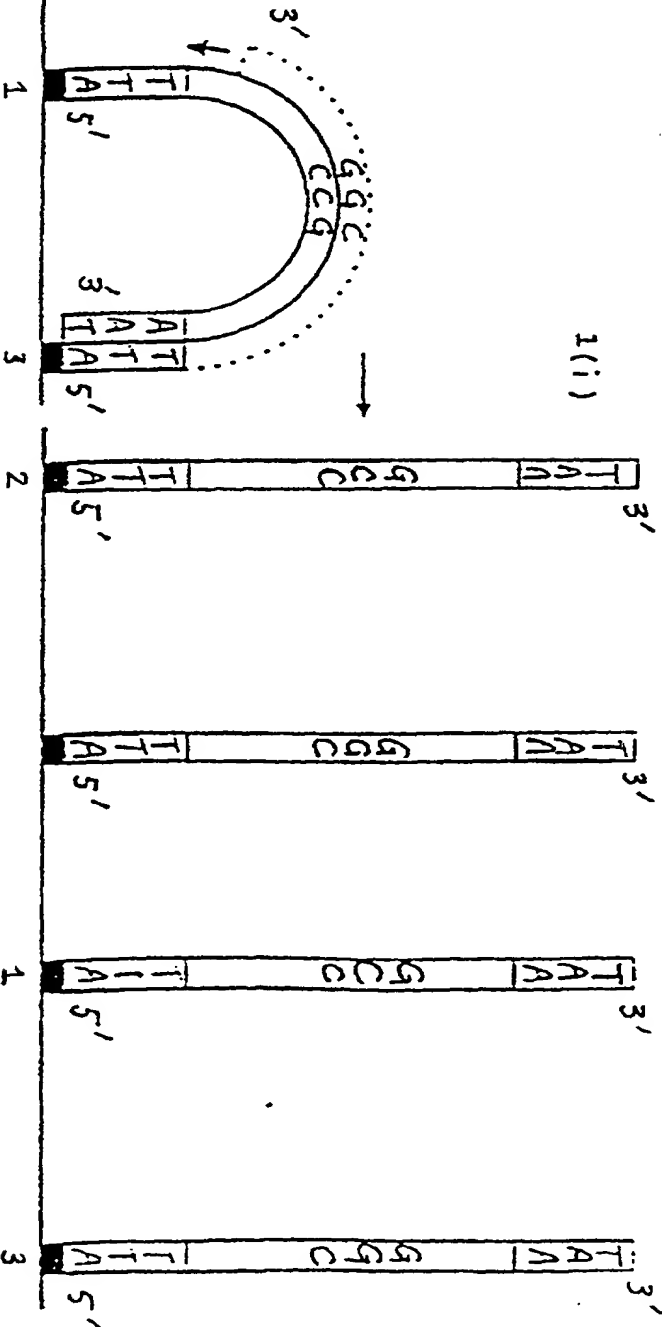
1(g)



EP98307985.6  
1(h)



1(i)



# Preparation of templates containing colony primer sequences (location of primers on RAGE)

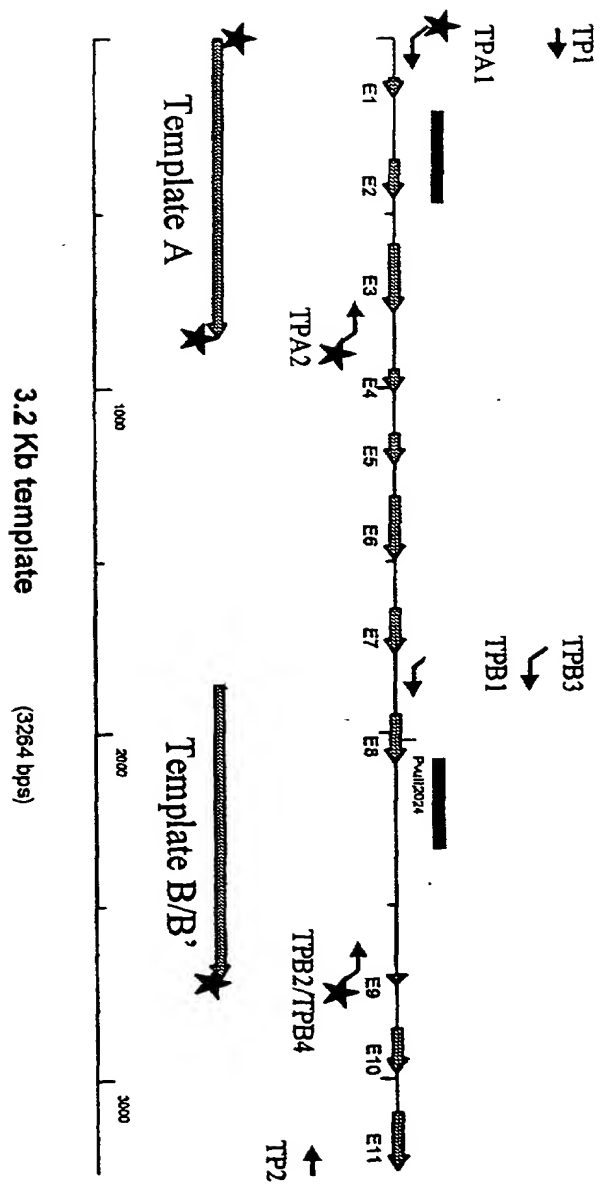


Figure 2a

## Chemical attachment of colony primers and colony templates to the surface

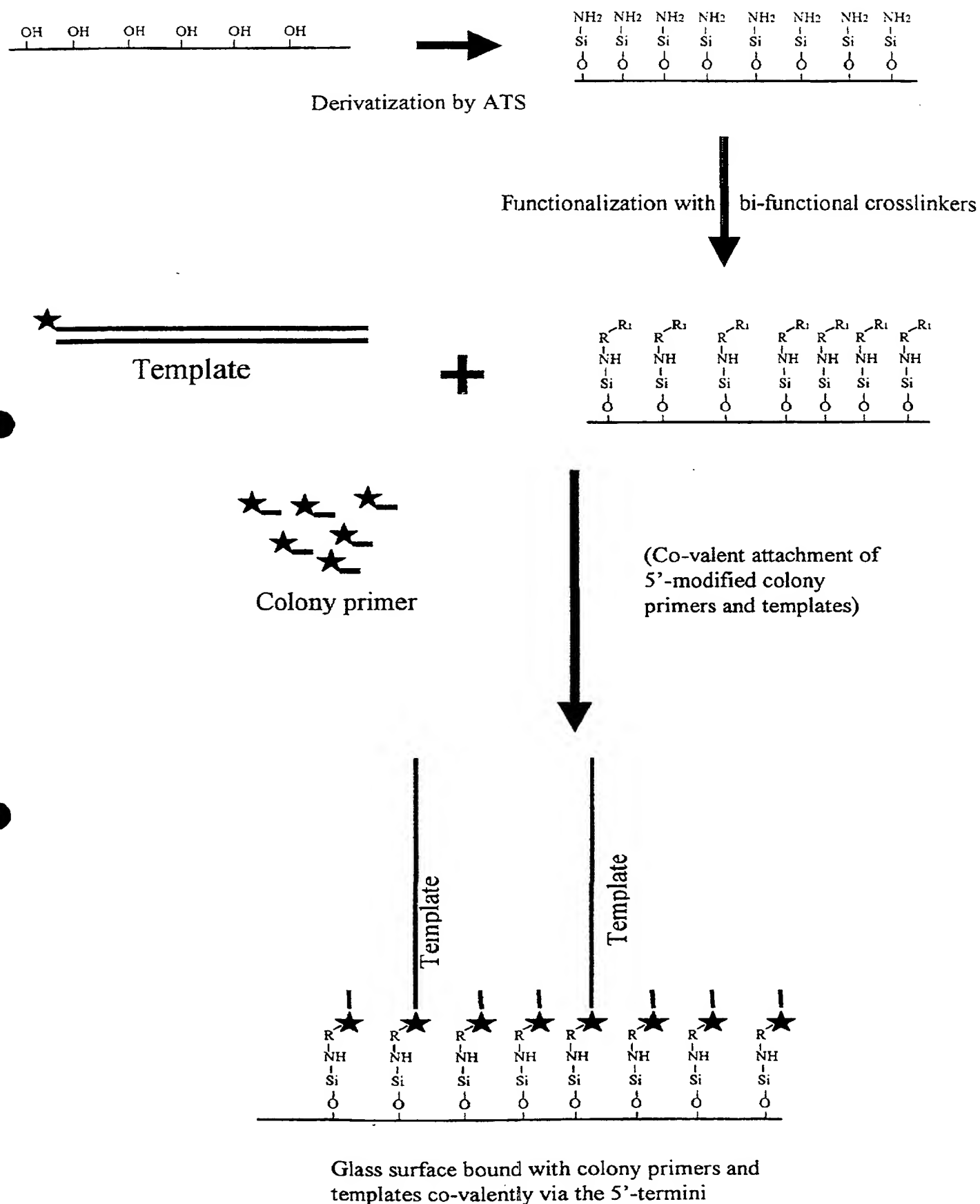


FIGURE 2B



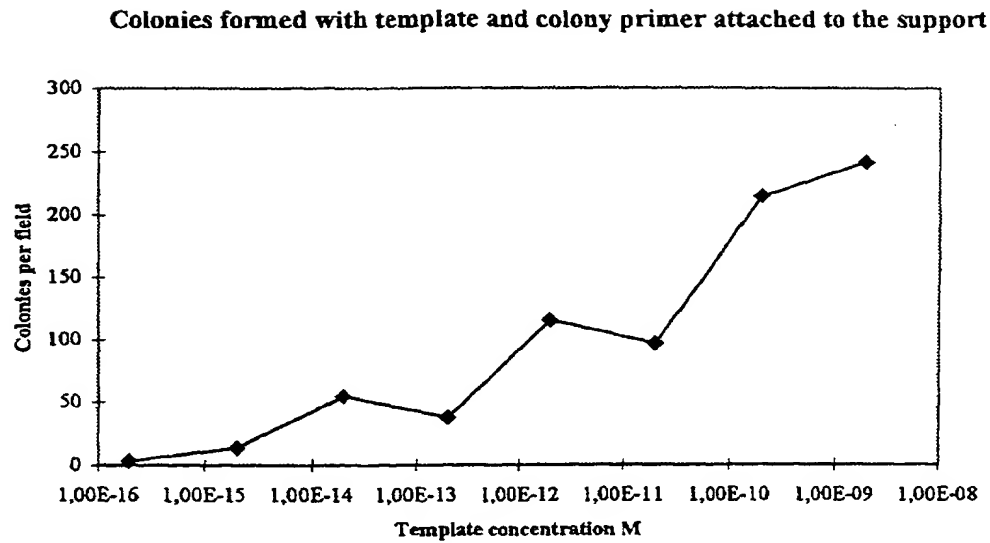


Figure 3

Figure 4

Simultaneous detection of two independent DNA colonies

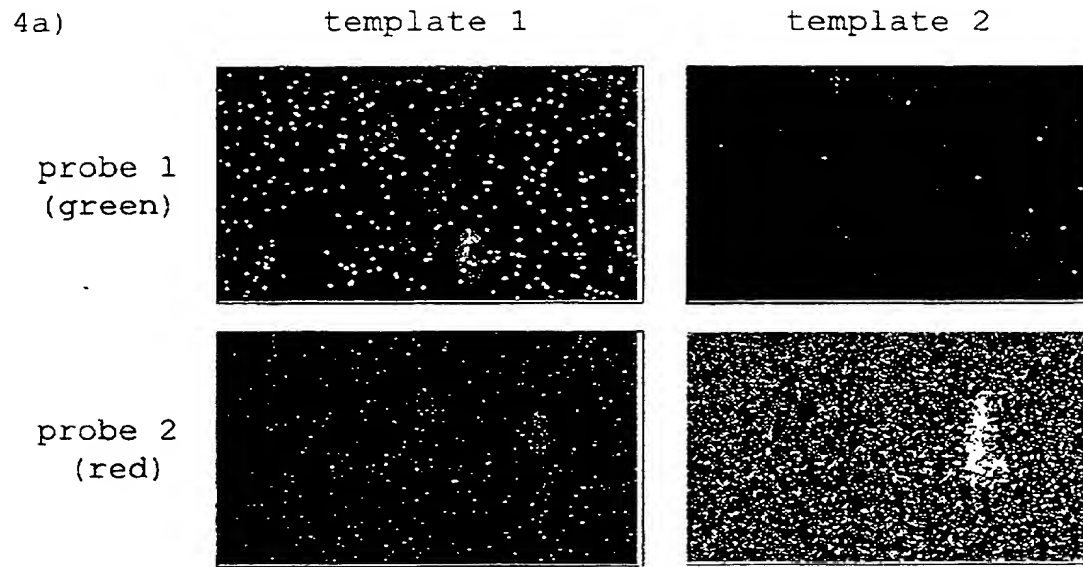
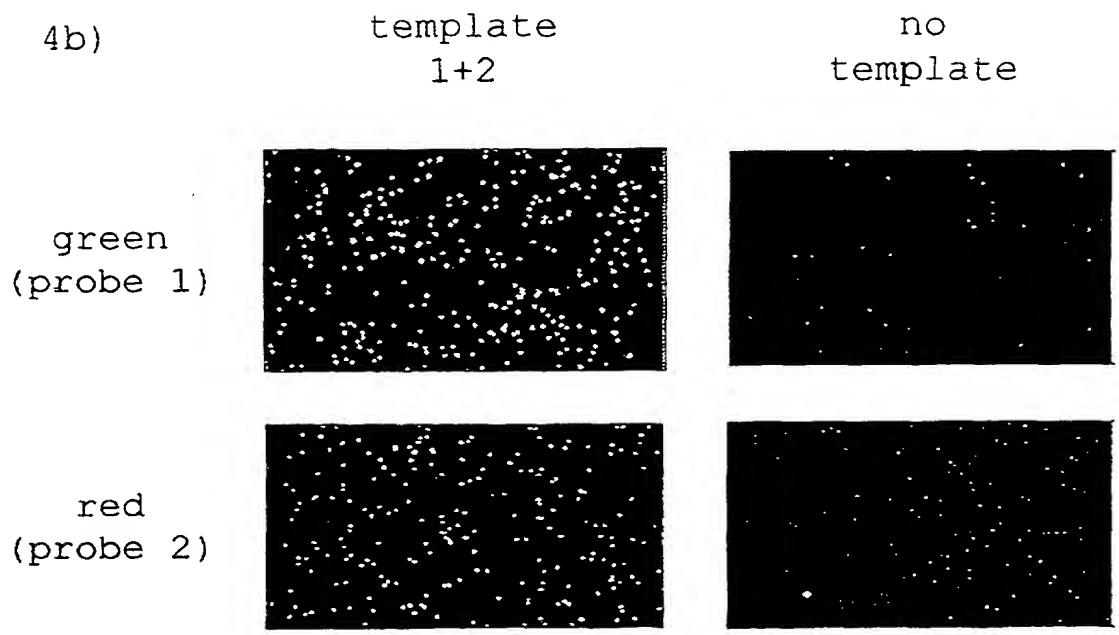
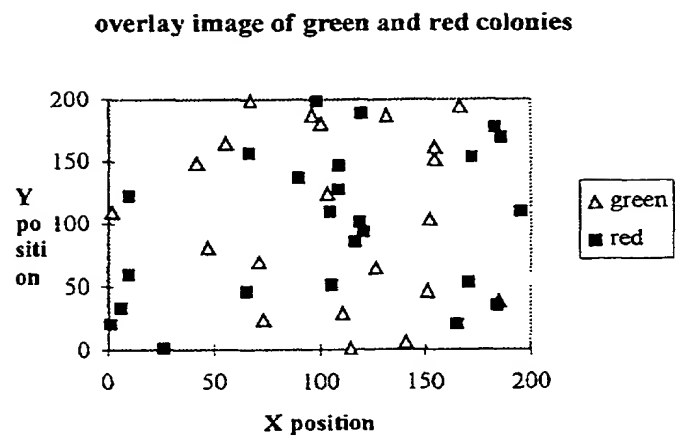


FIGURE 4



4c) Overlay of red and green signals



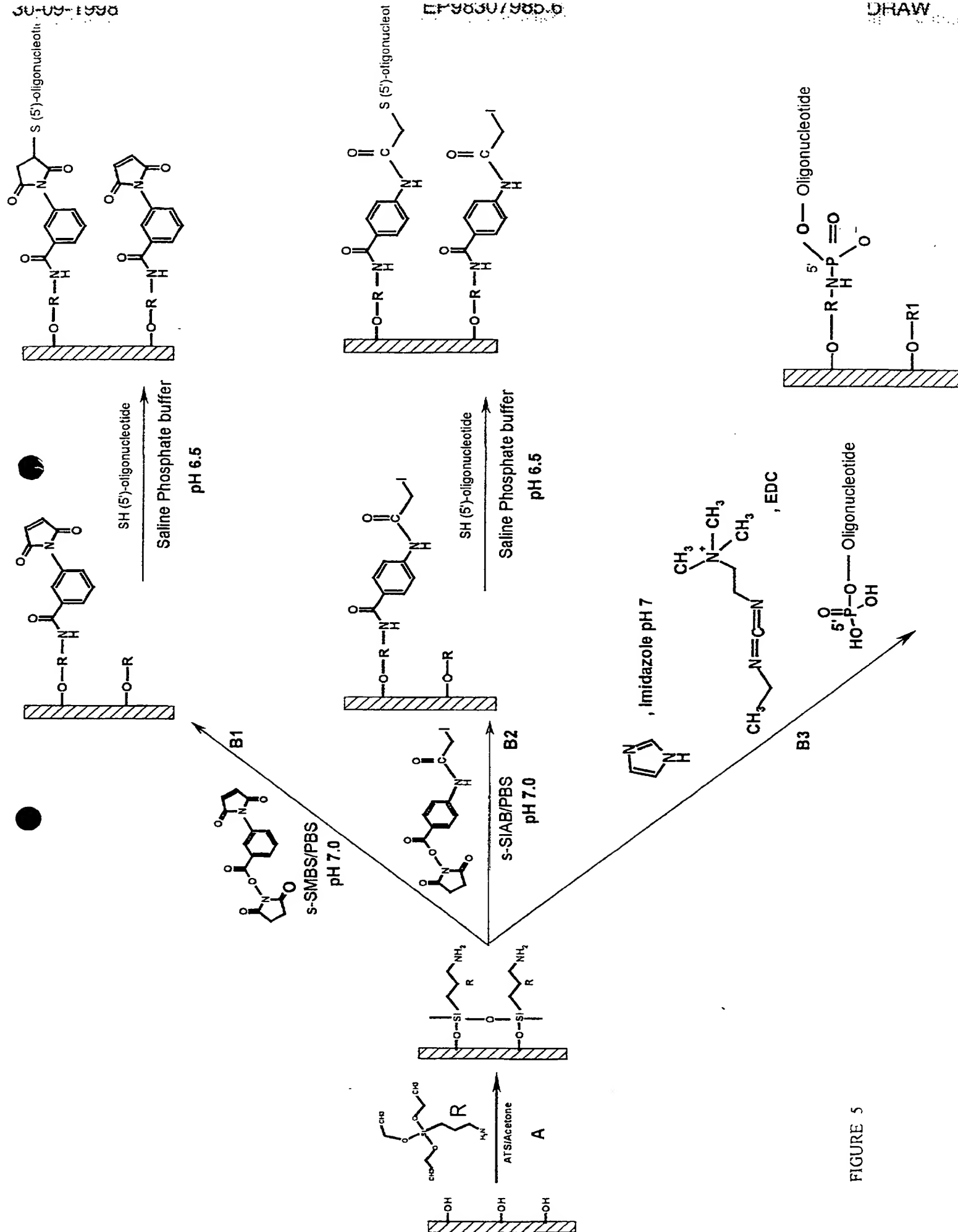
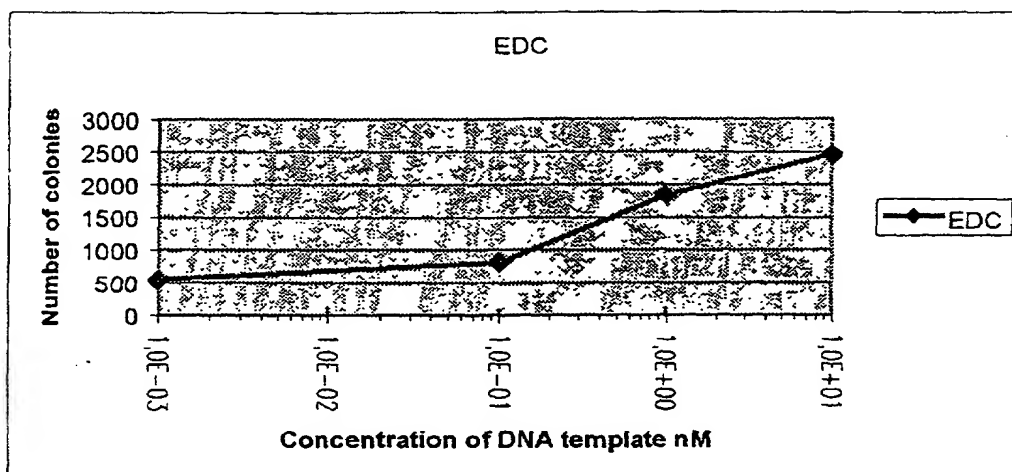
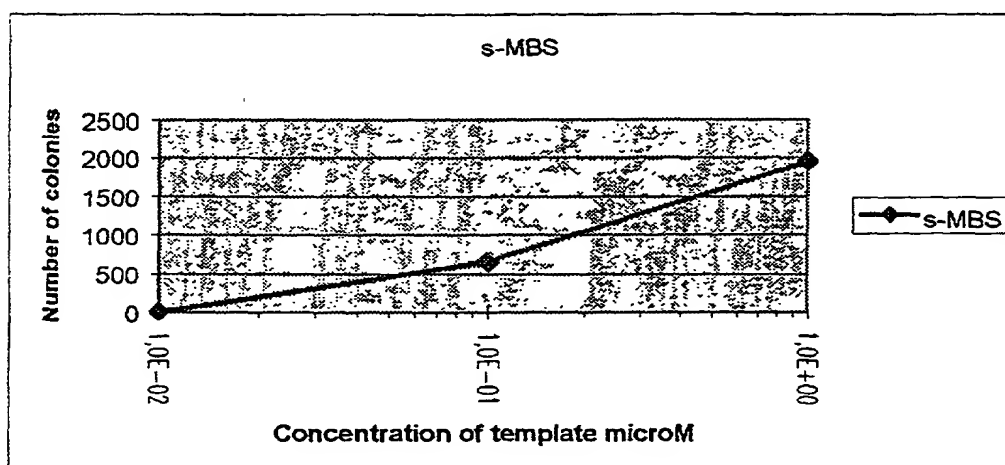


FIGURE 5

## DNA colony generation on glass: effect of template concentration



A

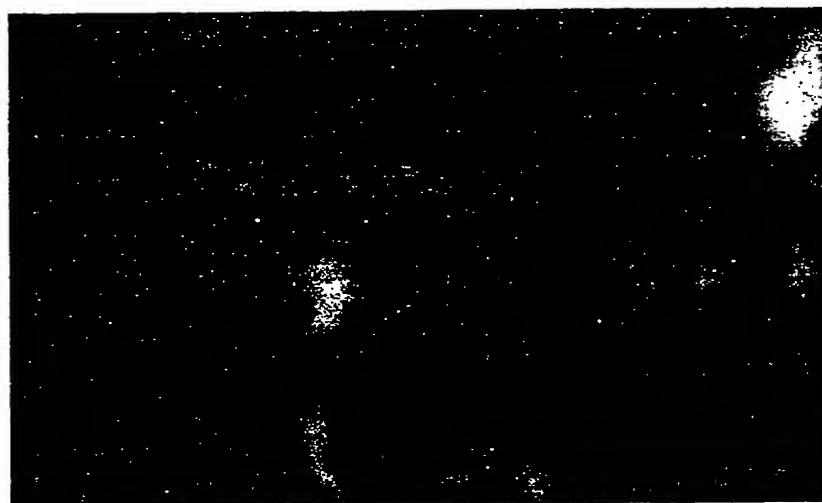


B

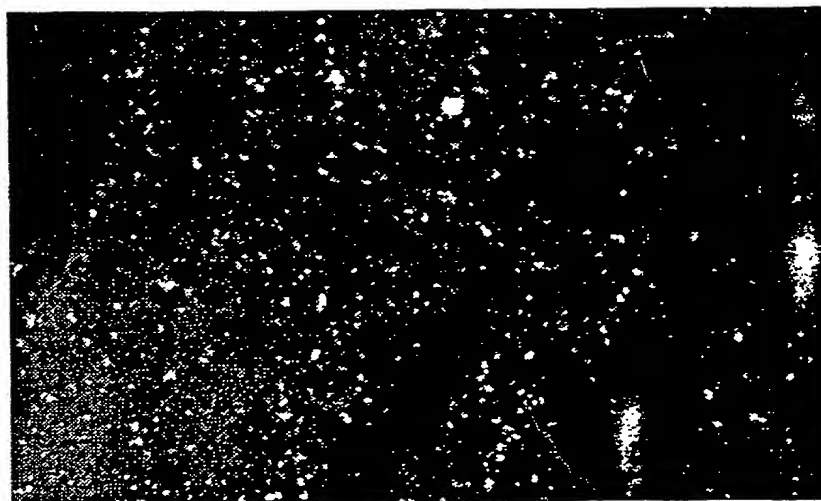
Figure 6



A)



B)



C)

Figure 7

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